



Office of
Public School Construction

FULL-DAY KINDERGARTEN FACILITIES GRANT PROGRAM

**Presented by
Office of Public School Construction (OPSC)**

February 25, 2020



PROGRAM OVERVIEW

Full-Day Kindergarten Facilities Grant Program (FDKFGP)

- Established on June 28, 2018 and extended on July 1, 2019
- One-time grants to increase the number of full-day kindergarten classrooms by either:
 - Constructing new school facilities; or,
 - Retrofitting existing school facilities
- Prioritizes projects converting part-day kindergarten programs to full-day kindergarten programs
- Applications accepted during specified funding rounds

Application Submittal Funding Rounds

- Additional \$300 million, less administrative costs and offsets to previously funded conversion projects, will be split into three potential rounds

Round Dates
Third Round: April 1, 2020 – April 30, 2020
*Fourth Round: January 3, 2021 – February 2, 2021
*Fifth Round: July 1, 2021 to July 30, 2021

- All funds will be made available for third round. Establishment of a fourth and fifth round will depend on availability of funds.
- Funding must be encumbered by June 30, 2022

Funding

- FDKFGP base grants will use the most current SFP new construction and modernization K-6 pupil grant amount
- All applicants must not have entered into a construction contract for the FDKFGP project before June 27, 2018
- Grants will be provided according to the chart below:

Converting From Part-Day to Full-Day Kindergarten Program?	New Construction or Retrofit Project?	State Share	District Share
No	New Construction	50%	50%
Yes	New Construction	75%	25%
No	Retrofit	60%	40%
Yes	Retrofit	75%	25%

Who Can Apply?

- For 2019-2020 and 2020-2021 fiscal years, eligible school districts must meet the following criteria:
 - Application site did not offer a full-day kindergarten program as of July 1, 2019.
 - District can only use FDKFGP funds to convert a part-day to a full-day kindergarten program.
 - District lacks facilities to provide full-day kindergarten at the project school site.

Who Can Apply? (cont.)

- For funds remaining after the 2020-2021 fiscal year, eligible school districts must meet the following criteria:
 - District currently has or will have a school board resolution approving full-day kindergarten instruction.
 - District lacks facilities to provide full-day kindergarten at the project school site.

Classroom Capacity

- Districts are considered lacking full-day kindergarten facilities if:
 - Site's kindergarten enrollment exceeds site's kindergarten compliant classroom capacity using SFP loading standard of 25 K-6 pupils per classroom
 - Classrooms constructed as kindergarten classrooms or altered to house kindergarten students, regardless of current use, will be included when determining existing kindergarten classroom capacity

Who Can Apply? (cont.)

- County offices of education, charter schools, joint powers of authority, and the State Department of Education are ineligible to apply.
- School district must hold title to the real property where the facilities will be located.
 - Therefore, projects located on leased lands are not eligible for FDKFGP funding.

Example of Eligible FDKFGP Project

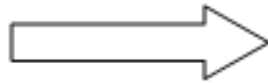
Before FDKFGP project

Original
Kindergarten
CR #1

50 pupils:25
AM/25 PM

Original
Kindergarten
CR #2

50 pupils:
25 AM/25 PM



After FDKFGP Project

Original
Kindergarten
CR #1

25 pupils
(Full-day)

Original
Kindergarten
CR #2

25 pupils
(Full-day)

New
Kindergarten
CR #3

25 pupils
(Full-day)

New
Kindergarten
CR #4

25 pupils
(Full-day)

Types of Grants

New Construction

- Increase number of kindergarten classrooms through an addition to an existing school site

Retrofit

- Increase number of kindergarten classrooms through retrofit of an existing facility

-
- OPSC will consult the California Department of Education (CDE) to determine if application will be limited to a retrofit project.
 - Districts must certify if there are any classrooms on the site that met kindergarten design requirements when they were built.

Types of Grants (cont.)

Grants without Advance

- For school districts that have all required supporting documentation
 - Division of State Architect (DSA) plan approval
 - California Department of Education (CDE) final plan approval

Grants with Advance

- For school districts that have none or only a portion of required supporting documentation
- New Construction
 - Advance funding for design (40% of base grant)
 - Advance funding for site acquisition
- Retrofit
 - Advance funding for design (25% of base grant)

Types of Grants (cont.)

Base grants can be increased by additional grants

New Construction

- Site acquisition
- Hazardous waste removal
- Automatic fire detection/alarm system
- Automatic sprinkler system
- Site development (35%)
- Multilevel classroom construction (12%)
- Project assistance

Retrofit

- Automatic fire detection/alarm system
- Site development (35%)
- 50-year old utilities (15%)
- Project assistance

APPLICATION PROCESS

What Makes a Complete Application?

- Items required for **all** application types
 - *Application for Funding* (Form SAB 70-01)
 - Detailed site map must include:
 - Current use of **all** facilities on the site
 - Use of Kindergarten classrooms after project (i.e. part-day or full-day)
 - Documentation verifying district's overall enrollment at the site
 - Enrollment year application is submitted and the three immediately preceding enrollment years

What Makes a Complete Application? (cont.)

- Items required for **all** application types (cont.)
 - Detailed narrative description of the proposed project must include:
 - Explanation of proposed project scope of work
 - Number of kindergarten classrooms before FDKFGP project
 - Number and use of kindergarten classrooms after project completion
 - Explanation of if the site is currently offering a full day program

OPSC Supporting Documentation

Additional Items for New Construction Applications

- New Construction Grant without advance release of funds
 - DSA application number
 - DSA final plan approval letter date
 - CDE final plan approval letter date
 - Plans and Specifications (P&S) for the DSA-approved project
 - CDE final site approval letter (site acquisition only)
 - Appraisal of property that must be dated within six months prior to purchase of the site (site acquisition only)

OPSC Supporting Documentation

Additional Items for New Construction Applications (cont.)

- New Construction Grant with advance release of funds
 - CDE contingent site approval letter (site acquisition only)
 - Preliminary appraisal of property that must be dated within six months prior to received date of Form SAB 70-01 (site acquisition only)

OPSC Supporting Documentation

Additional Items for Retrofit Applications

- Retrofit Grant without advance release of funds
 - DSA application number
 - DSA plan approval letter date
 - CDE final plan approval letter date
 - P&S for the DSA-approved project
 - Identify facilities to be retrofitted and age of the building(s) on site map (50-year old utilities grant only)
- Retrofit Grant with advance release of funds
 - Identify facilities to be retrofitted and age of the building(s) on site map (50-year old utilities grant only)

Completing the Funding Application

- Filling out the Form 70-01
- Financial Hardship Process

Filling Out the Form SAB 70-01

STATE OF CALIFORNIA

APPLICATION FOR FUNDING

FULL-DAY KINDERGARTEN FACILITIES GRANT PROGRAM

SAB 70-01 (NEW 10/18)

STATE ALLOCATION BOARD
OFFICE OF PUBLIC SCHOOL CONSTRUCTION

Page 3 of 4

The School District named below applies to the State Allocation Board via the Office of Public School Construction for a grant under the provisions of Chapter 3, Part 10.5, Division 1, commencing with Section 17375, et seq., of the Education Code and the Regulations thereto.

NAME OF DISTRICT REPRESENTATIVE (PRINT)

TITLE

EMAIL ADDRESS

SCHOOL DISTRICT

APPLICATION NUMBER

SCHOOL NAME

SCHOOL ADDRESS

COUNTY

PROJECT TRACKING NUMBER (PTN)

Filling Out the Form SAB 70-01

1. Type of Application—Check Only One

- a. ☐ New Construction Grant [Section 1860.7(a)]
- b. ☐ New Construction Grant with Advance [Section 1860.7(b)]
 - ☐ Design ☐ Site
- c. ☐ Retrofit Grant [Section 1860.7(c)]
- d. ☐ Retrofit Grant with Advance [Section 1860.7(d)]
- e. ☐ Dual Scope New Construction and Retrofit Grant [Sections 1860.7(a) & (b)]
- f. ☐ Dual Scope New Construction and Retrofit Grant with Advance [Sections 1860.7(b) & (d)]
 - ☐ Design ☐ Site

2. Pupil Grant Request and Project Information

- a. Total Pupils Assigned: _____
- b. Number of Classrooms: _____
- c. DSA application number (if applicable): _____
- d. DSA final plan approval letter date (if applicable): _____
- e. CDE final plan approval letter date (if applicable): _____
 - (1) Recommended Site Size (Useable): _____
 - (2) Existing Acres (Useable): _____
 - (3) Proposed Acres (Useable): _____
- f. CDE final site approval (if applicable) on: _____
- g. CDE contingent site approval (if applicable) on: _____

Filling Out the Form SAB 70-01

3. **Project Priority Funding Order**

Priority order of this application in relation to other FDKFGP applications submitted by the School District in the same funding round: # _____

4. **Preference Points**

a. ☐ Financial Hardship

b. Free and Reduced Price School Meal (FRPM) Percentage of School District:

Financial Hardship Process

1. District submits funding application, checks box for financial hardship request.
2. OPSC will notify district when 70-01 is scheduled to be processed.
3. District submits financial hardship documents within 30 days.
4. Financial Hardship Approval and Funding Application processed concurrently.

Filling Out the Form SAB 70-01

5. New Construction Additional Grant Request—New Construction Only

- a. ☐ Automatic Fire Detection/Alarm System
- ☐ Automatic Sprinkler System
- b. ☐ Site Development
- c. Multilevel Classroom(s) Construction: _____
- d. ☐ Project Assistance

6. New Construction Additional Grant Request—Site Acquisition

a. Site Acquisition:

- (1) 50 percent Actual Cost: \$ _____
- (2) 50 percent Appraised Value: \$ _____
- (3) 2 percent (min. \$25,000): \$ _____
- (4) 50 percent actual Relocation Cost: \$ _____
- (5) 50 percent estimated Relocation Cost: \$ _____
- (6) 50 percent actual DTSC Fee: \$ _____
- (7) DTSC Fee 15 percent appraised value: \$ _____

Filling Out the Form SAB 70-01

b. Hazardous Waste Removal

(1) 50 percent actual hazardous waste removal: \$ _____

(2) 50 percent estimated hazardous waste removal: \$ _____

(3) ☐ Response Action (RA)

7. Retrofit Additional Grant Request

a. ☐ Automatic Fire Detection/Alarm System

b. ☐ Site Development

c. ☐ 50-year old utilities

d. ☐ Project Assistance

8. Construction Information

a. Construction Delivery Method

☐ Design-Bid-Build

☐ Design-Build

☐ Developer Built

☐ Lease Lease-Back

☐ This project includes or will include piggyback contract(s) as defined in Public Contract Code Section 20118.

☐ Other: _____

b. Construction Contract(s) awarded on: _____

(If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)

Completing the Form SAB 70-01

9. Architect of Record or Licensed Architect Certification

I certify as the architect of record for the project or as a licensed architect that:

- Any portion of the P&S requiring review and approval by DSA were approved by DSA on _____ (enter DSA approval date).
- Any portion of the P&S not requiring review and approval by DSA meets the requirements of the California Code of Regulations, Title 24, including any handicapped access and fire code requirements.

ARCHITECT OF RECORD OR LICENSED ARCHITECT (PRINT NAME)

SIGNATURE

DATE

10. Architect of Record or Design Professional Certification

I certify as the architect of record for the project or the appropriate design professional, that:

- If the request is for a Full-Day Kindergarten Facilities Grant Program (FDKFGP) new construction project, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S including deferred items (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the School District's matching share, less site acquisition costs. This cost estimate does not include site acquisition, planning, tests, inspection, or furniture and equipment and is available at the School District for review by OPSC.
- If the request is for a Full-Day Kindergarten Facilities Grant Program retrofit project, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S, including deferred items and interim housing (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the School District's matching share. This cost estimate does not include planning, tests, inspection or furniture and equipment and is available at the School District for review by OPSC.

ARCHITECT OF RECORD OR DESIGN PROFESSIONAL (PRINT NAME)

SIGNATURE

DATE

Completing the Form SAB 70-01

11. Certification

I certify, as the District Representative, that the information reported on this form is true and correct and that:

- I am an authorized representative of the School District as authorized by the governing board of the School District; and
- The School District will comply with all laws pertaining to the construction or retrofit of its school building; and,
- A resolution or other appropriate documentation supporting this application under Chapter 3, Part 10.5, Division 1, commencing with Section 17375, et. seq., of the Education Code was adopted by the school district's governing board or the designee of the Superintendent of Public Instruction on, _____ ; and,

Completing the Form SAB 70-01

The School District certifies that _____ classrooms were constructed or previously altered to meet the requirements for a kindergarten classroom.

Completing the Form SAB 70-01

The School District certifies that:

- ☐ Full-day kindergarten instruction is not currently offered at the project site as of the date of this application; or,
- ☐ Full-day kindergarten instruction is offered at the project site, and was adopted by the school district's governing board on,
_____.

Completing the Form SAB 70-01

- With regards to providing full-day kindergarten instruction as pursuant to Section 1860.5, the School District certifies that:
 - ☐ A resolution allowing the School District to provide full-day kindergarten at the project site was adopted by the school district's governing board (attached) on, _____; or,
 - ☐ Prior to the completion of the project, a resolution allowing the School District to provide full-day kindergarten at the project site will be adopted by the school district's governing board and submitted with the Form SAB 70-03.

FUNDING ORDER

Funding Order

- Preference points are assigned to each application
 - 80 maximum points possible
 - Financial Hardship requirements met: 40 points
 - Low Income: Up to 40 points
 - Percentage of pupils within school district who are eligible for Free and Reduced-Price School Meals

Funding Order (cont.)

- 1. Approved applications will be funded based on the district's total preference points and the application's priority order (assigned by the district on Section 3 of the Form SAB 70-01).
 - (A) Districts will have their first priority projects funded in order of total preference points until all districts have had their first priority project funded.

Funding Order (cont.)

- 1(B) School Districts with the same total preference points will be funded in order of the higher Low Income percentage reported to one decimal place. The School District with the higher Low Income percentage will be funded first.
- 1(C) If School Districts have the same total preference points and the same Low Income percentage reported to one decimal place, projects will then be placed into a lottery system to determine the School District funding order.

Funding Order (cont.)

- 2. If sufficient funding remains after the districts with multiple approved applications then will be funded in order of total preference points.
- (A) The District with the highest total preference points shall have their remaining projects funded in priority order until all projects are funded. Funding shall then be provided to the next District with the highest total preference points.
- (B) A tie breaker will be used for School Districts with the same total preference points by using the exact Low Income percentage to one decimal place, as identified in the most recent Free and Reduced Price School Meals data on file at the CDE. The School District with the higher Low Income percentage will be funded first.

Funding Order (cont.)

- 2(C) Districts with the same total preference points are still tied after the review the Low Income, will be placed into a lottery system to determine District funding order. The highest remaining priority project for each District participating in the lottery will be funded until all projects from each District participating in the same lottery round have been funded.

Partial Funding Order

- 3. If the Board does not have sufficient funding to fully apportion a district's project, that district may elect to either:
 - (A) Accept partial funding for the project; or
 - If partial funding is accepted, the Apportionment shall become full and final.
 - (B) Decline to accept funding for the project.
 - If partial funding is declined, the Board may offer partial funding to the next approved application, based on funding order described in the previous slides.

Funding Order Example

FUNDING SCENARIO

The following five school districts have applied to the Program, and all applications were approved for funding. School districts B, C, and D all have 70 total preference points. District B has an FRPM of 90.4%, where District C and D has a tied FRPM of 90.3% so Districts C and D were placed into a lottery system, to determine the order within the funding wave that will fund each of these 2 school districts first priority project. In this example, school district B would be funded first, as their FRPM was higher. School district C was picked second. School district D was picked third. This same order will apply to the subsequent funding wave. This same order was picked for the funding order of the first priority projects, pursuant to 1860.15(c)(1) and for the subsequent funding order lottery, pursuant to 1860.15(c)(2).

<i>School District Name</i>	<i>Total Preference Points</i>	<i>Number of Approved Applications</i>	<i>Position in Lottery</i>
School District A	75	3	N/A
School District B	70	3	1
School District C	70	2	2
School District D	70	4	3
School District E	68	3	N/A

FUND RELEASE AND REPORTING

Fund Release Process

New Construction or Retrofit Grants without Advance

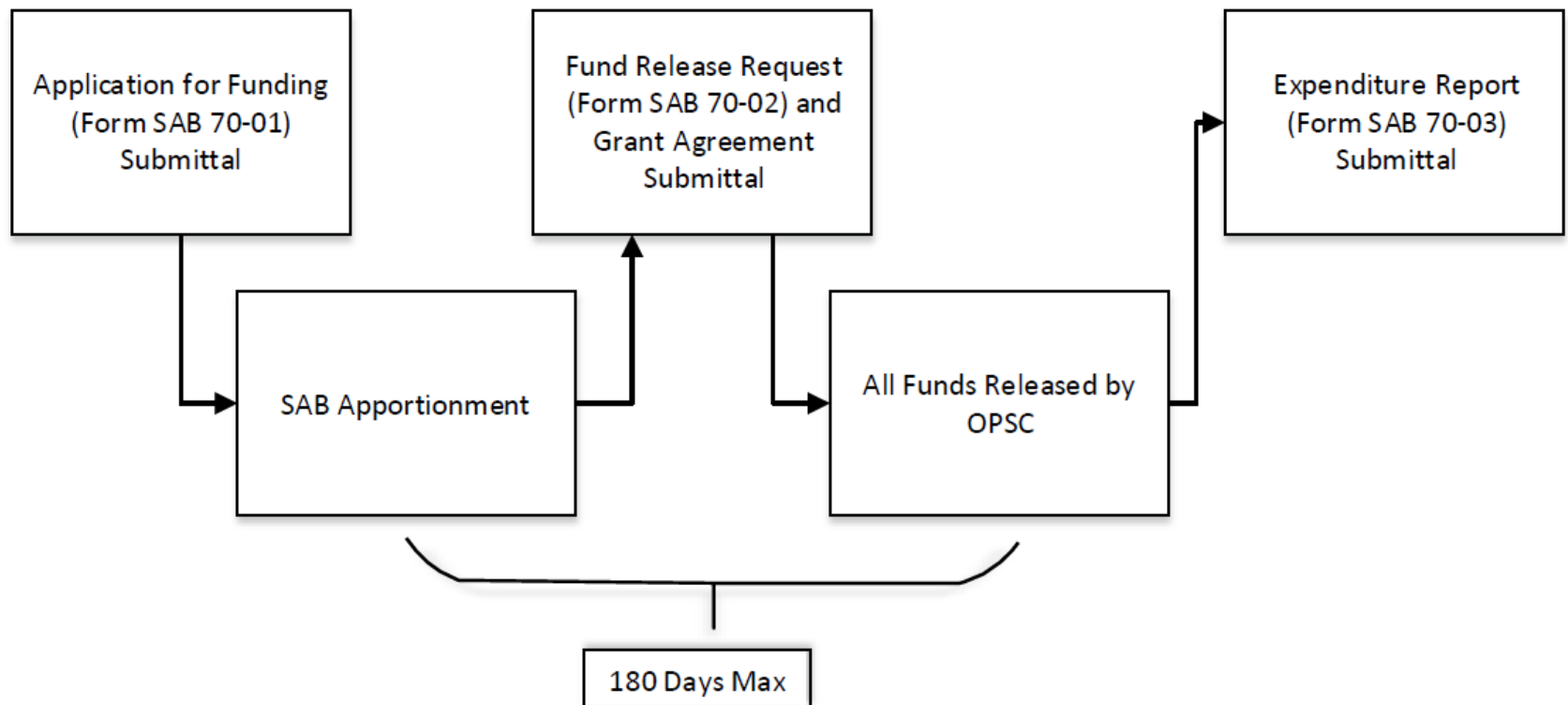
- Must submit *Fund Release Authorization* (Form SAB 70-02) with all approvals within **180 days** of apportionment

New Construction or Retrofit Grants with Advance

- Must submit *Fund Release Authorization* (Form SAB 70-02) with all approvals within **12 months** of apportionment
-
- Must execute and submit grant agreement before release of **any** funds.
 - Cash proceeds will be made available after apportionment and will be released upon receipt of a Grant Agreement.

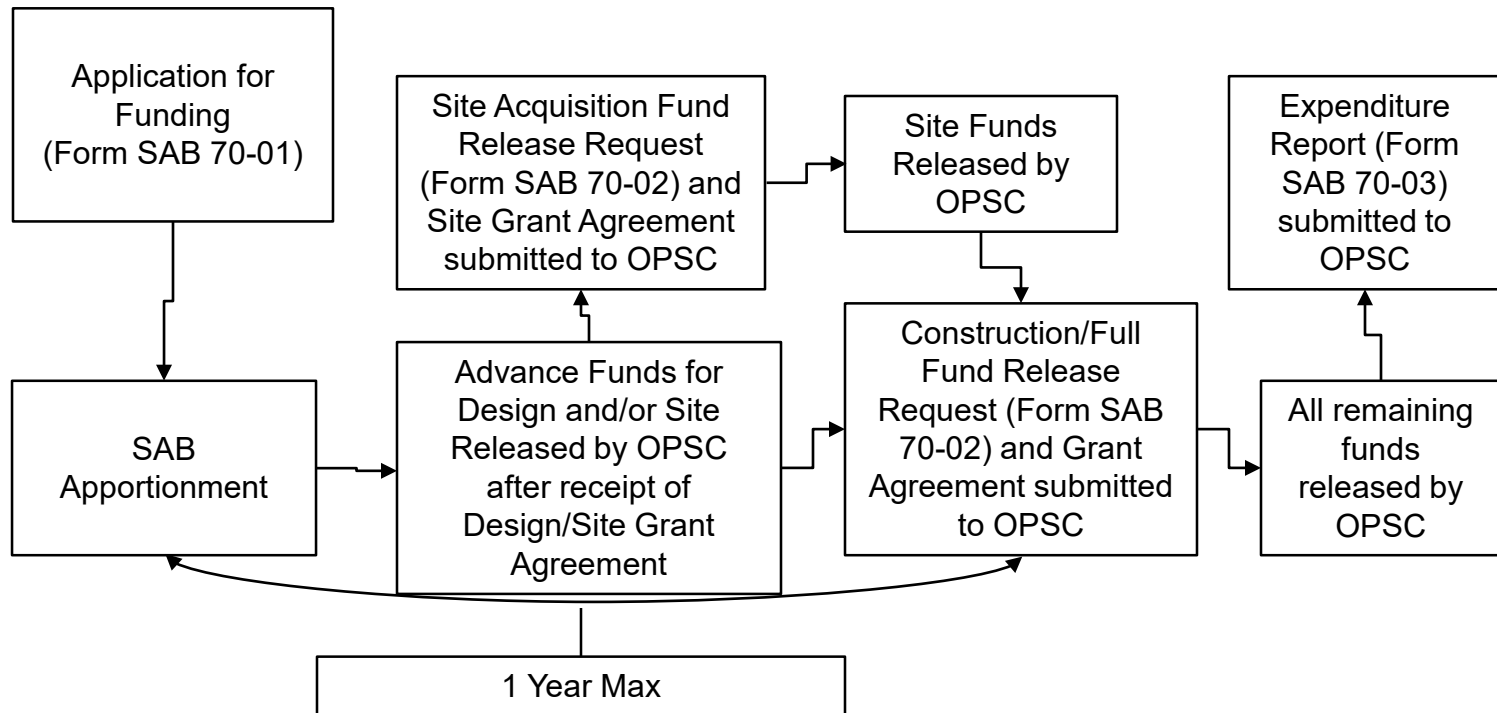
Sample Program Timeline

Projects without advance release of funds



Sample Program Timeline

Projects with advance release of funds



Program Reporting

- Must submit an *Expenditure Report* (Form SAB 70-03) at project completion.
 - A projects is complete when either of the following occur:
 - Notice of completion for the project has been filed; or
 - One year from the date of the final fund release
- Must report expenditure of project savings within one year from project completion.
- All projects will be subject to a local audit.

OPSC and FDKFGP Websites

- **FDKFGP**

- <https://www.dgs.ca.gov/OPSC/Services/Page-Content/Office-of-Public-School-Construction-Services-List-Folder/Access-Full-Day-Kindergarten-Facilities-Grant-Program-Funding#@ViewBag.JumpTo>

- **PTN Generator and Project Tracker**

- <https://www.dgsapps.dgs.ca.gov/opsc/PT/Ptn/Default.aspx>

FDKFGP Contacts

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Questions?