APPLICATION FOR FUNDING
CALIFORNIA PRESCHOOL, TRANSITIONAL KINDERGARTEN AND FULL-DAY KINDERGARTEN FACILITIES GRANT PROGRAM

GENERAL INSTRUCTIONS

Pursuant to Regulation Section 1860.3.1, the School District must submit all Board-required forms and supporting documentation for a valid and complete Application using OPSC Online. A School District may file an application for new construction and/or retrofit funding for the California Preschool, Transitional Kindergarten and Full-Day Kindergarten Facilities Grant Program (Program) by use of this form for projects that have awarded construction contracts on or after July 1, 2018 for full day kindergarten projects, or on or after July 1, 2021 for preschool or transitional kindergarten projects.

SPECIFIC INSTRUCTIONS

The School District must assign a Project Tracking Number (PTN) to this project. The same PTN is used by the Office of Public School Construction (OPSC), the Division of the State Architect (DSA), the California Department of Education (CDE), and the California Department of Social Services (CDSS) for all project applications submitted to those agencies to track a particular project through the entire state application review process. If the School District has already assigned a PTN to this project by prior submittal of the Plans and Specifications (P&S) to either DSA or CDE for approval, use that PTN for this application submittal. If no PTN has been previously assigned for this project, a PTN may be obtained from ~~OPSC's website~~ the District tab on OPSC Online.

1. Type of Application

Check the appropriate box that indicates the type of Program funding the School District is requesting for purposes of new construction apportionment with or without an advance release of funds, retrofit apportionment with or without an advance release of funds, or both new construction and retrofit apportionment with or without an advance release of funds. Requests for funding may be as follows:

a. A **New Construction Grant** pursuant to Section 1860.7(a). If the funding request includes site acquisition, the proposed site must either be owned by the School District, in escrow, or the School District has filed condemnation proceedings and received an order of possession of the site. For purposes of this apportionment, the following documents must be submitted with this form:

- Site map that includes labelling of all facilities and their current use, and identifies all classrooms constructed or previously retrofitted to house kindergarten students.
- Supporting documentation to substantiate the School District's enrollment at the site for the current reporting year and the three immediately preceding years.
- Narrative that explains the current classroom and facility usage at the site, what the School District plans to do with the project, and the resulting usage at the completion of the project.
- DSA application number and approval letter dates.
- CDE approval letter and dates.
- California Preschool projects must submit the county's most recent childcare needs assessment conducted by its regional local planning council.
- California Preschool projects must submit a current or future contract with the California Department of Education to operate a preschool program.

Appraisal of property, must be dated within 6 months prior to purchase of the site (site acquisition only)

b. A **New Construction Grant with Advance** pursuant to Section 1860.7(b). If requesting this grant, check the appropriate box to specify if the School District is requesting advance funding for design and/or advance funding for site acquisition. School Districts may apply for both on the same project. For purposes of this apportionment, the following documents must be submitted with this form:

- Site map that includes labelling of all facilities and their current use, and identifies all classrooms constructed or previously retrofitted to house kindergarten students.
- Supporting documentation to substantiate the School District's enrollment at the site for the current reporting year and the three immediately preceding years.
- Narrative that explains the current kindergarten classroom usage at the site, what the School District plans to do with the project, and the resulting kindergarten usage at the completion of the project.
- California Preschool projects must submit the county's most recent childcare needs assessment conducted by its regional local planning council.
- California Preschool projects must submit a current or future contract with the California Department of Education to operate a preschool program.
- Preliminary appraisal of property, must be dated within 6 months prior to the received date of the Form SAB 70-01 (site acquisition only).

c. A **Retrofit Grant** pursuant to Section 1860.7(c). For purposes of this apportionment, the following documents must be submitted with this form:

- Site map that includes labelling of all facilities and their current use, identifies all classrooms constructed or previously retrofitted to house kindergarten students, and identifies any facilities that will be retrofitted in the project. If a School District is requesting a 50-year old utilities grant, identify the age of the building(s).
- Supporting documentation to substantiate the School District's enrollment at the site for the current reporting year and the three immediately preceding years.
- Narrative that explains the current kindergarten classroom usage at the site, what the School District plans to do with the project, and the resulting kindergarten usage at the completion of the project.
- DSA application number and approval letter dates.
- CDE approval letter and dates.
- California Preschool projects must submit the county's most recent childcare needs assessment conducted by its regional local planning council.
- California Preschool projects must submit a current or future contract with the California Department of Education to operate a preschool program.

d. A **Retrofit Grant with Advance** pursuant to Section 1860.7(d). For purposes of this apportionment, the following documents must be submitted with this form:

- Site map that includes labelling of all facilities and their current use, identifies all classrooms constructed or previously retrofitted to house kindergarten students, and identifies any facilities that will be retrofitted in the project. If a School District is requesting a 50-year old utilities grant, identify the age of the building(s).
- Supporting documentation to substantiate the School District's enrollment at the site for the current reporting year and the three immediately preceding years.
- Narrative that explains the current classroom usage at the site, what the School District plans to do with the project, and the resulting usage at the completion of the project.
- California Preschool projects must submit the county's most recent childcare needs assessment conducted by its regional local planning council.
- California Preschool projects must submit a current or future contract with the California Department of Education to operate a preschool program.

e. **A New Construction and Retrofit Grant** pursuant to Section 1860.7(a) and (c). For purposes of this apportionment, the following documents must be submitted with this form:

- Site map that includes labelling of all facilities and their current use, identifies all classrooms constructed or previously retrofitted to house kindergarten students, and identifies any facilities that will be retrofitted in the project. If a School District is requesting a 50-year old utilities grant, identify the age of the building(s).
- Supporting documentation to substantiate the School District's enrollment at the site for the current reporting year and the three immediately preceding years.
- Narrative that explains the current kindergarten classroom usage at the site, what the School District plans to do with the project, and the resulting kindergarten usage at the completion of the project.
- Appraisal of property, must be dated within 6 months prior to the purchase of the site (site acquisition only).

f. **A New Construction and Retrofit Grant with Advance** pursuant to Section 1860.7(b) and (d). For purposes of this apportionment, the following documents must be submitted with this form:

- Site map that includes labelling of all facilities and their current use, identifies all classrooms constructed or previously retrofitted to house kindergarten students, and identifies any facilities that will be retrofitted in the project. If a School District is requesting a 50-year old utilities grant, identify the age of the building(s).
- Supporting documentation to substantiate the School District's enrollment at the site for the current reporting year and the three immediately preceding years.
- Narrative that explains the current kindergarten classroom usage at the site, what the School District plans to do with the project, and the resulting kindergarten usage at the completion of the project.
- Preliminary appraisal of property, must be dated within 6 months prior to the received date of the Form SAB 70-01 (site acquisition only).

2. School Site Enrollment Data

The information needed to complete this form is based on the latest California Longitudinal Pupil Achievement Data System (CALPADS) that is available approximately October 15th of each year. Applications filed on or after November 1st must include the current school year enrollment. Report the current year and the three prior years' Transitional Kindergarten (TK) TK–12 enrollment, as appropriate. Enter the CALPADS enrollment at the school site for the current year and the three prior years' Preschool (PK) PK–12 enrollment, as appropriate.

3. Pupil Grant Request and Project Information

a. For new Construction projects, enter the total number of pupils assigned to the project using state loading standards of 25 pupils per classroom pursuant to Section 1860.5(a)(2), 1860.5.1(a)(3)(B), 1860.5.2(a)(3)(B).

b. Enter the number of new construction preschool, transitional kindergarten, and/or kindergarten classrooms for which the School District is requesting funding as shown on the P&S.

c. For Retrofit projects, enter the total number of pupils assigned to the project using state loading standards of 25 pupils per classroom pursuant to Section 1860.5(a)(2), 1860.5.1(a)(3)(B), 1860.5.2(a)(3)(B).

d. Enter the number of retrofitted preschool, transitional kindergarten, and/or kindergarten classrooms for which the School District is requesting funding as shown on the P&S.

e. Enter DSA's application number for this project, if applicable.

f. Enter the date(s) of DSA's final plan approval, if applicable.

g. Enter the date(s) of CDE 's final plan approval, if applicable. In addition, enter the:
   (1) Recommended site size, as determined by CDE.
   (2) Existing Useable Acres already owned at that location (if any).
   (3) Proposed Useable Acres that was/will be purchased as part of the application (if any).

h. If the School District is requesting site acquisition, enter the date(s) of CDE final site approval.

i. If the School District is requesting site acquisition, enter the date(s) of CDE contingent site approval.

4. Project Priority Funding Order

Enter the priority order of this application in relation to other Program applications submitted by the School District during the same funding round.

5. Preference Points

a. Check the box if the School District is requesting to receive priority because the School District qualifies for financial hardship and/or is requesting to receive priority for financial hardship because it is unable to meet its matching share requirement. To receive priority for financial hardship, a district must meet the criteria defined in Education Code Section 17375(b)(3) and 17075.10. Projects that meet these criteria will receive 40 preference points.

b. Enter the School District's Free and Reduced Price School Meals (FRPM) percentage if the School District is requesting to receive priority for having a high population of pupils who are FRPM eligible as defined by Education Code Section 42238.01. Because a high FRPM percentage is required to receive priority, districts must have at least 60 percent FRPM to receive priority points. Points will be provided on the sliding scale listed in Section 1860.15. The School District can earn up to 40 preference points based on the School District's FRPM percentage.

If the application includes a request for Financial Hardship, the School District must comply with the requirements of Section 1860.14.

6. New Construction Additional Grant Request

Check the appropriate box(es) if the School District requests an augmentation to the new construction grant for "additional" grants for the items listed. Refer to Sections 1860.9(b) through (f) for eligibility criteria. Enter the:

a. Check the box(es) if the School District requests and the project qualifies for additional funding for fire code requirements authorized in Section 1860.9(b) and (c).

b. Check the box if the School District is requesting additional funding for Site Development pursuant to Section 1860.9(d). The new construction base grant for all new construction projects will be increased by 35 percent.

c. List the number of multilevel classrooms in the P&S pursuant to Section 1860.9(e).

d. Check the box if the School District has an enrollment of 2,500 or less and is requesting project assistance pursuant to Section 1860.9(f).

7. New Construction Additional Grant Request—Site Acquisition

Complete the following sections if the School District requests an augmentation to the new construction grant for "additional" grants for the items listed below. Refer to Sections 1860.10 through 1860.10.3 for eligibility criteria.

a. If the School District is requesting funding for eligible site acquisition costs pursuant to Section 1860.10, enter the following information:
   1) Enter 50 percent of the actual cost.
   2) Enter 50 percent of the appraised value of the site. If the request is made pursuant to Regulation Section 1860.7(b), enter 50 percent of the preliminary appraised value. Appraisals must be dated within 6 months prior to the received date of the Form SAB 70-01.

3) Enter two percent of the lesser of the actual cost or appraised value of the site (minimum $25,000).
4) Enter 50 percent of the actual relocation cost.
5) Enter 50 percent of the estimated relocation cost. (advance only)
6) Enter 50 percent of the actual the Department of Toxic Substances Control (DTSC) fee for review and approval of the phase one environmental site assessment and preliminary endangerment assessment reports. Refer to Sections 1860.10.2 and 1860.10.3.
7) Enter 15 percent of the appraised value for DTSC fees. (advance only)

b. Enter 50 percent of the actual amount allowable for hazardous materials/waste removal and/or remediation for the site acquired pursuant to Sections 1860.10.2 and 1860.10.3. Enter 50 percent of the estimated hazardous waste removal (advance only). If an RA is required, check the box.

8. Retrofit Additional Grant Request

Complete the following sections if the School District requests an augmentation to the retrofit grant for "additional" grants for the items listed below. Refer to Section 1860.12 for eligibility criteria. Enter the:

a. Check the box(es) if the School District requests and the project qualifies for additional funding for fire code requirements authorized in Section 1860.12(b)
b. Check the box if the School District is requesting additional funding for site development pursuant to Section 1860.12(c). The retrofit base grant will be increased by 35 percent.
c. Check the box if the School District is requesting additional funding for site development utility cost necessary for the retrofit of 50 years or older permanent building(s) pursuant to Section 1860.12(d). The retrofit base grant will be increased by 15 percent for 50 year-old utilities for projects that retrofit 50 years or older permanent buildings.
d. Check the box if the School District has an enrollment of 2,500 or less and is requesting project assistance allowance pursuant to Section 1860.12(e).

9. Construction Information

a. Check the box that best represents the construction delivery method that the School District has or will use for this project, if known.
b. Enter the date(s) the construction contract(s) was awarded for this project(s). If a construction contract has not been executed, enter N/A. (If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)

10. Architect of Record or Licensed Architect Certification

The architect of record or the licensed architect must complete this section.

11. Architect of Record or Design Professional Certification

The architect of record or the appropriate design professional must complete this section.

12. Certification

The District Representative must complete this section.

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The School District named below applies to the State Allocation Board via the Office of Public School Construction for a grant under the provisions of Chapter 3, Part 10.5, Division 1, commencing with Section 17375, et seq., of the Education Code and the Regulations thereto.

NAME OF DISTRICT REPRESENTATIVE (PRINT)	TITLE	EMAIL ADDRESS

SCHOOL DISTRICT	APPLICATION NUMBER

SCHOOL NAME	SCHOOL ADDRESS

COUNTY	PROJECT TRACKING NUMBER (PTN)

CHECK APPROPRIATE BOX: Initial 70-01 Submittal for Advance ☐ Final submittal for full funding ☐

1. Type of Application—Check Only One

a. ☐ New Construction Grant [Section 1860.7(a)]

b. ☐ New Construction Grant with Advance [Section 1860.7(b)]
☐ Design ☐ Site

c. ☐ Retrofit Grant [Section 1860.7(c)]

d. ☐ Retrofit Grant with Advance [Section 1860.7(d)]

e. ☐ Dual Scope New Construction and Retrofit Grant [Sections 1860.7(a) & (b)]

f. ☐ Dual Scope New Construction and Retrofit Grant with Advance [Sections 1860.7(b) & (d)]
☐ Design ☐ Site

2. Enrollment Data for Proposed Site

Grade	3rd Prev. /	2nd Prev. /	Previous /	Current /
Preschool				
TK				
K				
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
TOTAL	0	0	0	0

3. Pupil Grant Request and Project Information - New Construction Projects

a. Total Pupils Assigned:

Preschool	Transitional Kindergarten	Full-Day Kindergarten

b. Number of Classrooms:

Preschool	Transitional Kindergarten	Full-Day Kindergarten

Retrofit Projects

c. Total Pupils Assigned:

Preschool	Transitional Kindergarten	Full-Day Kindergarten

d. Number of Classrooms:

Preschool	Transitional Kindergarten	Full-Day Kindergarten

e. DSA application number (if applicable): _____

f. DSA final plan approval letter date (if applicable): _____

g. CDE final plan approval letter date (if applicable): _____

(1) Recommended Site Size (Useable): _____

(2) Existing Acres (Useable): _____

(3) Proposed Acres (Useable): _____

h. CDE final site approval (if applicable) on: _____

i. CDE contingent site approval (if applicable) on: _____

4. Project Priority Funding Order

Priority order of this application in relation to other FDKFGP applications submitted by the School District in the same funding round: # _____

5. Preference Points

a. ☐ Financial Hardship

b. Free and Reduced Price School Meal (FRPM) Percentage of School District (reported to one decimal place): _____

(1) Year of FRPM Used: _____

6. New Construction Additional Grant Request—New Construction Only

a. ☐ Automatic Fire Detection/Alarm System
☐ Automatic Sprinkler System

b. ☐ Site Development

c. Multilevel Classroom(s) Construction: _____

d. ☐ Project Assistance

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7. New Construction Additional Grant Request—Site Acquisition

a. Site Acquisition:
- (1) 50 percent Actual Cost: $_____
- (2) 50 percent Appraised Value: $_____
- (3) 2 percent (min. $25,000): $_____
- (4) 50 percent actual Relocation Cost: $_____
- (5) 50 percent estimated Relocation Cost: $_____
- (6) 50 percent actual DTSC Fee: $_____
- (7) DTSC Fee 15 percent appraised value: $_____

b. Hazardous Waste Removal
- (1) 50 percent actual hazardous waste removal: $ _____
- (2) 50 percent estimated hazardous waste removal: $_____
- (3) ☐ Response Action (RA)

8. Retrofit Additional Grant Request

a. ☐ Automatic Fire Detection/Alarm System
b. ☐ Site Development
c. ☐ 50-year old utilities
d. ☐ Project Assistance

9. Construction Information

a. Construction Delivery Method
- ☐ Design-Bid-Build
- ☐ Design-Build
- ☐ Developer Built
- ☐ Lease Lease-Back
- ☐ Other: _____

b. Construction Contract(s) awarded on: _____

_____

(If the space provided is not sufficient for all applicable contract dates, please list all dates on a separate attachment to this form.)

10. Architect of Record or Licensed Architect Certification

I certify as the architect of record for the project or as a licensed architect that:

- Any portion of the P&S requiring review and approval by DSA were approved by DSA on _____ (enter DSA approval date).
- Any portion of the P&S not requiring review and approval by DSA meets the requirements of the California Code of Regulations, Title 24, including any handicapped access and fire code requirements.

ARCHITECT OF RECORD OR LICENSED ARCHITECT (PRINT NAME)

SIGNATURE	DATE

11. Architect of Record or Design Professional Certification

I certify as the architect of record for the project or the appropriate design professional, that:

- If the request is for a California Preschool, Transitional Kindergarten and Full-Day Kindergarten Facilities Grant Program new construction project, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S including deferred items (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the School District's matching share, less site acquisition costs. This cost estimate does not include site acquisition, planning, tests, inspection, or furniture and equipment and is available at the School District for review by OPSC.
- If the request is for a California Preschool, Transitional Kindergarten and Full-Day Kindergarten Facilities Grant Program retrofit project, I have developed a cost estimate of the proposed project which indicates that the estimated construction cost of the work in the P&S, including deferred items and interim housing (if any) relating to the proposed project, is at least 60 percent of the total grant amount provided by the State and the School District's matching share. This cost estimate does not include planning, tests, inspection or furniture and equipment and is available at the School District for review by OPSC.

ARCHITECT OF RECORD OR DESIGN PROFESSIONAL (PRINT NAME)

SIGNATURE	DATE

12. Certification

I certify, as the District Representative, that the information reported on this form is true and correct and that:

- I am an authorized representative of the School District as authorized by the governing board of the School District; and
- The School District will comply with all laws pertaining to the construction or retrofit of its school building; and,
- A resolution or other appropriate documentation supporting this application under Chapter 3, Part 10.5, Division 1, commencing with Section 17375, et. seq., of the Education Code was adopted by the school district's governing board or the designee of the Superintendent of Public Instruction on,_____; and,
- The School District has established a "Restricted Maintenance Account" for exclusive purpose of providing ongoing and major maintenance of school buildings and has developed an ongoing and major maintenance plan that complies with and is implemented under the provisions of Section 1860.21; and,
- The School District has received as applicable, approval of the site and the plans from CDE. Plan approval with this application submittal is not required if the request is for apportionment with advance; and,
- The School District has or will comply with the Public Contract Code regarding all laws governing the use of force account labor; and,
- The School District matching funds required pursuant to Sections 1860.11 or 1860.13 has either been expended by the School District, deposited in the County School Facility Fund or will be expended by the School District prior to fund release; and,
- The School District is responsible for ensuring that the project is compliant with Prevailing Wage Monitoring and/or Labor Compliance Program requirements at the time construction contracts are executed and/or construction commenced.
- The School District has received the necessary approval of the plans and specifications from the Division of the State Architect unless the request is for an apportionment with advance. Plan approval is not required with this application submittal if the request is for apportionment with advance; and
- If the School District is submitting a request for an apportionment without advance, it will submit a fund release request for full funding with all required approvals within 18 months of apportionment. The School District understands that funds not requested within 18 months of apportionment shall be rescinded without further board action (refer to Section 1860.16); or,
- If the School District is requesting an apportionment with advance, it will submit a final fund release request with all required approvals within 18 months of apportionment. The School District understands that funds not requested within 18 months of apportionment shall be rescinded without further board action (refer to Section 1860.16); and,
- The School District understands that some or all of the State funding for the project must be returned to the State as a result of an audit pursuant to Sections 1860.20; and,

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- If the School District requested additional funding for fire code requirements pursuant to Sections 1860.9(b) and (c) or 1860.12(b), the School District will include the automatic fire detection/alarm system and/or automatic sprinkler system in the project prior to completion of the project; and,
- If the School District requested additional funding for 50-year old utilities, the School District certifies that 50-year old utilities work required for the retrofit of 50-year old permanent buildings will be included in the project and done prior to completion of this project.
- If the School District requested additional funding for multi-level classroom construction, the School District certifies that multi-level classroom construction work will be included in the project and done prior to completion of this project.
- If this funding request is for the retrofit of kindergarten classrooms, the School District has considered the potential for the presence of lead-containing materials in the retrofit project and will follow all relevant federal, state, and local standards for the management of any identified lead; and,
- If this funding request is for the retrofit of kindergarten classrooms, the School District certifies that the classrooms did not meet design requirements for a kindergarten classroom at the time they were built or previously retrofitted to meet kindergarten standards; and,
- The School District certifies that _____ classrooms were constructed or previously altered to meet the requirements for a kindergarten classroom.
- With regards to providing full-day kindergarten instruction as pursuant to Section 1860.5, the School District certifies that:
  - ☐ A resolution allowing the School District to provide full-day kindergarten at the project site was adopted by the school district's governing board (attached) on, _____; or,
  - ☐ Prior to the completion of the project, a resolution allowing the School District to provide full-day kindergarten at the project site will be adopted by the school district's governing board and submitted with the Form SAB 70-03.
- The School District certifies that:
  - ☐ Full-day kindergarten instruction is not currently offered at the project site as of the date of this application; or,
  - ☐ Full-day kindergarten instruction is offered at the project site, and was adopted by the school district's governing board on, _____.
- With regards to providing preschool instruction as pursuant to Section 1860.5.2, the School District certifies that:
  - ☐ A resolution allowing the School District to provide preschool at the project site was adopted by the school district's governing board (attached) on, _____.
  - ☐ The county's most recent childcare needs assessments conducted by its regional local planning council for preschool age children.
  - ☐ Current or future contract with the CDE to operate a preschool program.
  - ☐ Before occupancy of classrooms in the project the district will meet Health and Safety Code (HSC) Division 2, Chapter 3.5 Article 2; and, obtain and be approved for licensure by the California Department of Social Services, unless the district qualifies as a license-exempt program.
  - ☐ Before occupancy of classrooms in the project the district will meet Title 22, Division 12, Chapter 1 and obtain and be approved for licensure by the California Department of Social Services, unless the district qualifies as a license-exempt program.
- With regards to providing transitional kindergarten instruction as pursuant to Section 1860.5.1, the School District certifies that:
  - ☐ A resolution allowing the School District to provide transitional kindergarten at the project site was adopted by the school district's governing board (attached) on, _____;
- The statements set forth in this application and supporting documents are true and correct to the best of my knowledge and belief; and,
- This form is an exact duplicate (verbatim) of the form provided by OPSC. In the event a conflict should exist, the language in OPSC's form will prevail.

SIGNATURE OF DISTRICT REPRESENTATIVE	DATE