

BEFORE THE
OFFICE OF ADMINISTRATIVE HEARINGS
STATE OF CALIFORNIA

IN THE MATTER OF:
PARENTS ON BEHALF OF STUDENT,

v.

KENTFIELD SCHOOL DISTRICT AND TAMALPAIS UNION
HIGH SCHOOL DISTRICT.

OAH CASE NUMBER 2021080499

ORDER FOLLOWING PREHEARING CONFERENCE FOR
HEARING BY VIDEOCONFERENCE

OCTOBER 11, 2021

On October 11, 2021, Administrative Law Judge Christine Arden, Office of Administrative Hearings, held a prehearing conference, called a PHC, by videoconference. The Administrative Law Judge is called an ALJ. The Office of Administrative Hearings is called OAH. The prehearing conference is called a PHC.

Attorney Carly Christopher appeared on behalf of Student. Attorney Lenore Silverman appeared on behalf of Kentfield School District and Tamalpais Union High School District. OAH recorded the PHC. Based upon discussion with the parties, the ALJ issues the following order:

HEARING DATES, TIMES, AND LOCATION

DUE PROCESS HEARING

The hearing will take place on October 19, 2021, through October 21, 2021, and continue day to day, at the discretion of the ALJ. The hearing will begin at 9:30 AM each day, and generally end at 3:30 PM, unless otherwise ordered. The parties anticipate it will take five to six days to complete the hearing. Additional hearing dates will be discussed with the ALJ during the hearing.

HEARING LOCATION

OAH is authorized to conduct due process hearings by videoconference or telephone. (Cal. Code Regs., tit. 5, § 3082, subd. (g).) Based on state and federal guidance to address safety procedures due to the novel coronavirus, COVID-19, OAH will conduct the due process hearing by videoconference using the Microsoft Teams application. Unless otherwise ordered, participants are required to appear by videoconference using a webcam and Microsoft Teams.

The parties must immediately notify all potential witnesses of the hearing dates and shall subpoena witnesses, if necessary, to ensure that the witnesses will appear to testify. A party's failure to notify or subpoena a witness to testify will not be considered good cause to continue the hearing. Instructions for issuing and responding to subpoenas and optional forms for subpoenas can be found on the website at <https://www.dgs.ca.gov/OAH/Case-Types/Special-Education/Forms/Subpoenas?search=special%20education%20subpoena>.

ISSUES AND PROPOSED RESOLUTIONS

The issues at the due process hearing, and proposed resolutions, as alleged in the complaint and clarified by the parties and the ALJ during the PHC are described below. The parties should note that upon reconsideration after the PHC, the ALJ reordered the issues for ease of analysis, and broken the issue, which was numbered two during the PHC, into issues five and six.

ISSUES

1. Did Kentfield School District deny Student a free appropriate public education, called a FAPE, during the 2017-2018, 2018-2019, and 2019-2020 school years, by failing to timely assess Student in the following areas of suspected disability: dyslexia, attention deficit hyperactivity disorder, executive functioning, working memory, social-emotional, mental health, functional behavior, assistive technology, speech and language, and occupational therapy?
2. Did Kentfield School District deny Student a FAPE, during the 2017-2018, 2018-2019, and 2019-2020 school years, by significantly impeding the Parents' opportunity to participate in the decision-making process regarding the provision of a FAPE to Student by:
 - a. misleading Parents by misrepresenting Student's present levels of performance and needs;
 - b. informing Parents that before Student could receive individualized specialized academic instruction special education services, modifications of the general education program would have to be attempted first;
 - c. ignoring Parents' concerns that Parents continually brought to the individualized education program, called an IEP, team's attention; and

- d. failing to explain to Parents Student's right to be assessed in all areas of suspected disability, including mental health?
- 3. Did Kentfield School District deny Student a FAPE, during the 2017-2018, 2018-2019, and 2019-2020 school years, by failing to offer Student an IEP with:
 - a. appropriate goals in the areas of phonological processing, mechanics of writing, receptive language, task completion, reading comprehension, organization, emotional regulation, and math fluency;
 - b. appropriate accommodations, specifically, a safe place to take a break;
 - c. appropriate related services, specifically, individual speech and language therapy, occupational therapy, behavior services, assistive technology services, and mental health services;
 - d. appropriate research and evidence based academic services and interventions in the areas of reading, writing, and math; and
 - e. extended school year.
- 4. Did Kentfield School District deny Student a FAPE during the 2019-2020 school year by failing to:
 - a. implement the IEP dated February 26, 2020;
 - b. provide Parents a distance learning plan for Student; and
 - c. failing to provide Parents with a prior written notice when Kentfield School District closed its school facilities in March 2020, due to the COVID-19 pandemic?
- 5. Did Tamalpais Union High School District deny Student a FAPE from the first day of the 2020-2021 school year by failing to assess Student in the following areas of suspected disability: dyslexia, attention deficit hyperactivity disorder, executive functioning, working memory, social-emotional, mental health, functional behavior, assistive technology, speech and language, and occupational therapy?

6. Did Tamalpais Union High School District deny Student a FAPE by failing to conduct a legally compliant triennial evaluation of Student, which was due on February 27, 2021?
7. Did Tamalpais Union High School District deny Student a FAPE during the 2020-2021 school year by failing to implement the portions of the February 26, 2020 IEP, and the February 26, 2021 IEP, which required Tamalpais Union High School District to provide IEP progress summary reports to Parents every trimester?
8. Did Tamalpais Union High School District deny Student a FAPE during the 2020-2021 school year by:
 - a. failing to properly explain special education procedures to Parents;
 - b. misleading Parents, causing Parents to mistakenly waive Student's right to a comprehensive triennial assessment;
 - c. failing to consider independent expert recommendations to remedy Student's low academic performance, and continuing to blame Student's lack of motivation for his low academic performance; and
 - d. failing to explain to Parents Student's rights during the time school was closed due to the COVID-19 pandemic?
9. Did Tamalpais Union High School District deny Student a FAPE during the 2020-2021 school year by failing to offer Student an IEP with:
 - a. appropriate goals in the areas of phonological processing, mechanics of writing, receptive language, task completion, reading comprehension, organization, emotional regulation, and math fluency;
 - b. appropriate accommodations, specifically, a safe place to take a break;
 - c. appropriate related services, specifically, individual speech and language therapy, occupational therapy, behavior services, assistive technology services, and mental health services;

- d. appropriate research and evidence based academic services and interventions in the areas of reading, writing, and math; and extended school year.
10. Did Tamalpais Union High School District deny Student a FAPE during the 2020-2021 school year, by failing to:
- a. implement the IEPs dated February 26, 2020, and February 26, 2021;
 - b. provide Parents a distance learning plan for Student; and
 - c. failing to provide Parents with a prior written notice when Tamalpais Union High School District's school facilities remained closed due to the COVID-19 pandemic?

A party must immediately file a written request with OAH if the party objects to the framing of the issues as stated in this Order.

PROPOSED RESOLUTIONS

The resolutions requested by Student include reimbursement for educational expenses and assessments, compensatory education, independent educational evaluations, placement at Lindamood-Bell Academy with transportation, funding for private related services, concessions regarding Student's grades, and training for Kentfield School District and Tamalpais Union High School District staff.

Neither Kentfield School District, nor Tamalpais Union High School District have filed a response to Student's complaint with OAH. Both parties must file a response with OAH within three business days from the date of the PHC. (*M.C. v. Antelope Valley Unified School Dist.* (9th Cir. 2017) 858 F.3d 1189, 1199-1200.)

EXHIBITS

The parties must upload their exhibits electronically according to the Order Setting Procedures for Filing Exhibits Electronically.

The parties must serve their exhibits on each other in compliance with Education Code section 56505, subdivision (e)(7). Timely uploading electronic hearing exhibits five business days before the first day of hearing constitutes that party's timely exchange of evidence on the other party or parties in compliance with Education Code section 56505, subdivision (e)(7).

Except for good cause shown, or unless used solely for rebuttal or impeachment, any exhibit not timely exchanged as required by Education Code section 56505, subdivision (e)(7) will not be admitted into evidence at the hearing unless the ALJ rules that it is admissible.

IDENTIFYING EXHIBITS AND PAGE NUMBERS

Each exhibit must be identified by a letter and number. Student must use the letter S before the number to identify Student's exhibits. Kentfield School District must use the letter K before the number to identify its exhibits. Tamalpais Union High School District must use the letter T before the number to identify its exhibits. For example, S-1 means Student's exhibit 1 and K-1 means Kentfield School District's exhibit 1. A cover sheet with this identifying letter and number should be the first page of each exhibit. The electronic evidence program will automatically number the exhibits and additional page numbering or bates-stamping should not be added.

CONTENT

Each exhibit must consist of one document. Separate documents may not be combined into one exhibit. Emails or letters may be consolidated into one exhibit if they are part of a single chain of messages. Exhibits should be in chronological order.

Parties should include resumes or curriculum vitae for each witness who is expected to testify as an expert or as to their educational and professional credentials and experience.

Kentfield School District's exhibits and Tamalpais Union High School District's exhibits must include a copy of the school calendar for each school year in question, including extended school years.

Electronic copies of exhibits filed with OAH must be filed by 5:00 PM, at least five business days before the first day of hearing. Please refer to the General Order OAH issued with the Scheduling Order that contains the Order Setting Procedures for Filing Exhibits Electronically. It includes instructions for how to file exhibits electronically. Beginning three business days before the hearing, the electronic evidence system will not allow parties to upload exhibits unless permitted by the ALJ during the hearing at the ALJ's discretion.

WITNESSES

The parties must serve their list of witnesses on each other in compliance with Education Code section 56505. No party will be permitted to call any witnesses not timely disclosed except for good cause shown, supported by written declaration under penalty of perjury, and at the discretion of the ALJ.

Parties are responsible for ensuring that all participants are familiar with and follow the Instructions for Joining the Online Due Process Hearing provided with this Order. Participants that have not previously used the Microsoft Teams platform, may also find informative videos available like the one at this link from Microsoft: <https://support.office.com/en-us/article/join-a-teams-meeting-078e9868-f1aa-4414-8bb9-ee88e9236ee4>.

The parties are responsible for ensuring that witnesses join the virtual hearing room at the designated date and time to testify. Witnesses are required to join the due process hearing by computer and appear by videoconference unless they do not have the necessary computer equipment. If a witness does not have the necessary equipment, the ALJ may permit the witnesses to appear by audio only or by telephone. "Audio only" means using a computer without webcam. Witness ability to attend the hearing by videoconference will be discussed at the start of the hearing.

The parties are responsible for producing their own witnesses unless otherwise ordered by the ALJ. Parties must make witnesses under their control reasonably available. The parties must schedule their witnesses to avoid delays in the hearing and to minimize or eliminate the need for calling witnesses out of order. Neither party will be permitted to call any witnesses not timely disclosed except for good cause shown, and at the discretion of the ALJ.

The ALJ has discretion to limit the number of witnesses and the time allowed for witness testimony. Education Code section 56505.1, subdivision (h), empowers the ALJ to set a reasonable limit on the length of the hearing after consideration of four factors. The first two factors are the issues to be heard, and the complexity of facts to be proven. The second two factors are the ability of the parties or their representatives to present

their respective cases and the parties' estimates of the time needed to present their cases.

MEET AND CONFER

The parties are encouraged to review and shorten their witness and exhibit lists before the hearing. Evidence may be excluded if it is repetitive, cumulative, or insufficiently probative to justify the time it would take to hear.

The parties are ordered to meet and confer no later than 5:00 PM on October 12, 2021, to discuss scheduling witnesses and how much time each witness will take. The parties shall discuss any issues related to counsel and witnesses appearing by videoconference for hearing and electronically viewing exhibits during the hearing, or subpoenas, if any. The parties should attempt to eliminate duplicate exhibits from their hearing exhibits. The parties shall discuss whether they can produce a written stipulation as to pertinent facts, contentions, resolutions and authenticity and admissibility of documents to shorten the length of time needed for the hearing.

As part of the meet and confer process, Kentfield School District and Tamalpais Union High School District shall inform Student which proposed witnesses are district employees. Kentfield School District and Tamalpais Union High School District shall also identify the witnesses on Student's list that require a subpoena. Kentfield School District and Tamalpais Union High School District may agree to accept service for witnesses under their control or provide Student with the witness' most recent contact information.

PARTICIPANT INFORMATION FORM

Both parties have an ongoing obligation to ensure that OAH has current information on the Participant Information Form filed with OAH and shall file an

updated Form as necessary. The Participant Information Form is not served upon the opposing party. The ongoing obligation to ensure that the Participant Information Form filed with OAH is current does not change the parties' statutory obligation to timely disclose their witnesses to the other party(s) at least five business days prior to hearing. The parties must file an updated Participant Information Form to inform OAH of any changes, additions, or deletions, including the required information no later than 5:00 PM at least two business days before the first day of hearing.

Any contact information provided shall be used for the limited purpose of issuing subpoenas or for contacting the witness(es) for purposes of attending the hearing and shall not be disclosed to any other person(s).

EVIDENCE PRESENTATION

In an administrative proceeding, the burden of proof is ordinarily on the party requesting the hearing. (*Schaffer v. Weast* (2005) 546 U.S. 49, 62.) In this case Student requested the hearing and bears the burden of proof. Student's case-in-chief shall be presented first, followed by Kentfield School District, followed by Tamalpais Union High School District, unless otherwise ordered.

WITNESS LISTS

The parties must provide a joint proposed witness list to the ALJ on the first day of hearing. The list should include the first and last name of the witness, which party(s) are calling the witness to testify, and include dates and time estimates of each witness' expected testimony. In the event an agreement cannot be reached, each party shall prepare a separate written list. Kentfield School District and Tamalpais Union High School District must have witnesses available in case there is no agreement.

At the beginning of the hearing, the ALJ and the parties will discuss the length of time anticipated for each witness and scheduling issues for individual witnesses. The ALJ will finalize the witness schedule. Parties must be prepared at the end of each day to discuss the witnesses to be presented the next day and the estimated time for the testimony each witness.

SCOPE OF WITNESS EXAMINATION

Each witness will be called to testify only once, except for rebuttal purposes. All parties must examine a witness on all issues when the witness is first called. Requiring a witness to appear only once takes priority over the order in which parties present their case in chief.

AUDIO ONLY OR TELEPHONIC TESTIMONY

Whether a witness may appear by audio only or telephone instead of videoconference is a matter within the discretion of the ALJ. (Cal. Code Regs., tit. 5, § 3082, subd. (g).) Any party seeking to present a witness by audio only or telephone shall request permission to do so before the hearing. Any party seeking to present a witness who cannot access exhibits electronically must inform the ALJ at the beginning of the hearing.

ELECTRONIC RECORDINGS

AUDIO RECORDING

A party may request permission to make an audio recording of the hearing. Permission to make an audio recording is subject to the ALJ's discretion and based upon certain conditions. The conditions are:

1. the OAH recording is the only official recording;
2. participants shall not use Teams to record the hearing;
3. the parties shall turn their recording device on and off at the same time that the ALJ is on and off the record to avoid recording conversations while off the record;
4. operation of the party's recording mechanism will not be allowed to delay the hearing;
5. a parties' recording may not be given, provided or played to a witness, or potential witness; and
6. the recording is subject to the same confidentiality as the due process hearing;
7. use of the chat function in the videoconference is prohibited and shall not be part of the official record;
8. participants shall not take screenshots of the videoconference or share or publish any portion of the videoconference or audio recording.

The requests of Student, Kentfield School District, and Tamalpais Union High School District to audio record the hearing are granted, subject to these conditions.

VIDEO RECORDING

No party, witness or anyone else may make any video recording of any part of the proceedings or stream live through any means.

RECORDINGS AS EXHIBITS

None of the parties propose to introduce all or part of a recording of an individualized education program team meeting. Recordings may not be uploaded electronically without the ALJ's permission. The ALJ has discretion to admit or exclude

evidence in the form of a recording. Any request to submit a recording shall be considered at the time of hearing.

The ALJ may grant or deny the request or grant the request subject to certain conditions. The party offering the recording must establish that all or a part of the recording is relevant. The ALJ may request a transcript of the portion of the recording requested or have the party offering the recording to provide an exact identifiable portion of the recording the party seeks to admit. The identities of any person speaking on the portion of the tape being introduced must be established. An ALJ may allow the opposing party to offer additional portions of a recording to establish the context, or to rebut, the recording.

COMPENSATORY EDUCATION OR REIMBURSEMENT

Any party seeking reimbursement for expenditures shall present admissible evidence of these expenditures, or a stipulation to the amount of the expenditures. A party seeking compensatory education should provide evidence regarding the type, amount, duration, and need for any requested compensatory education. Documents offered as evidence to support a request for reimbursement must be separated by vendor.

MOTIONS

Kentfield School District plans to bring a motion for an Order limiting Student's evidence to only evidence within the two-year statute of limitations. Kentfield School District shall file that motion with OAH and serve it on Student by 5:00 PM on October 13, 2021. Student's opposition to Kentfield School District's motion shall be filed with OAH and served on the Respondents by noon on October 18, 2021. No other prehearing motions are pending or contemplated. Any other motion that was not filed

three business days before the PHC, shall be supported by a declaration under penalty of perjury establishing good cause why the motion was not timely filed.

STIPULATIONS

Stipulations to pertinent facts, contentions, resolutions and authenticity and admissibility of documents are encouraged. Any proposed stipulation shall be submitted to the assigned ALJ in writing prior to the start of the hearing.

CONDUCT OF COUNSEL AND HEARING ROOM DECORUM

All participants to the videoconference should observe the same decorum as in an in-person due process hearing. Counsel, all parties, and all witnesses must conduct themselves in a professional and courteous manner at all times. Cellular phones, telephones and computer notifications must be shut off or set to silent during the hearing unless the ALJ grants permission otherwise. Counsel, parties, and witnesses should appear from a private location, unless otherwise ordered. Participants should take reasonable efforts to minimize background noise and maintain adequate room lighting. Use of virtual background images are at the discretion of the ALJ. Witnesses are prohibited from reading exhibits, documents, notes, text messages, telephones or computers while testifying, unless permitted to do so by the ALJ. Parties, counsel and witnesses are prohibited from passing notes to, exchanging electronic messages with, or signaling the witness in any way while the witness is testifying.

LANGUAGE INTERPRETERS AND REASONABLE ACCOMMODATIONS

A party or participant in this case, such as a witness, requiring reasonable accommodation to participate in a mediation or hearing may contact OAH at (916) 263-0880, or send an email to OAHADA@dgs.ca.gov as soon as the need is

known. The e-mail should have "Request for Accommodation" in the Subject Line. Additional information concerning requests for reasonable accommodation is available on OAH's website at: <https://www.dgs.ca.gov/OAH/Services/Page-Content/Office-of-Administrative-Hearings-Services-List-Folder/Accommodations-at-OAH>.

HEARING CLOSED TO THE PUBLIC

The hearing is closed to the public. Special Education hearings are closed to the public unless Student requests the hearing to be open to the public.

SETTLEMENT

The parties are encouraged to continue working together to reach an agreement before the due process hearing. The parties shall inform OAH in writing immediately if they reach a settlement or otherwise resolve the dispute before the scheduled hearing. In addition, if a settlement is reached within five days of the scheduled start of the due process hearing, the parties shall also inform OAH of the settlement by telephone at (916) 263-0880. At the same time, the parties should send the signature page of the signed agreement or a letter withdrawing the case to OAH at <https://www.applications.dgs.ca.gov/oah/oahsftweb>.

If a full and final written settlement agreement is reached after 4:00 PM the day prior to hearing, the parties shall leave a voicemail message regarding the settlement at (916) 274-6035. The parties should also leave contact information such as cellular phone numbers of each party or counsel for each party.

Dates for hearing will not be cancelled until the letter of withdrawal or signature page of the signed agreement has been received and processed by OAH. If an agreement in principle is reached, the parties should plan to attend the scheduled

hearing unless different arrangements have been agreed upon by the assigned ALJ. The assigned ALJ will check for messages before the hearing.

If the matter settles subject to board approval, in addition to a signed copy of the signature page of the settlement agreement, the parties shall provide the date of the next board meeting. The hearing dates will not be cancelled without this information.

FAILURE TO COMPLY

The failure to comply with this Order may result in the exclusion of evidence or other sanctions.

ORDER INCLUDES ALL RULINGS

This Order includes all rulings made at the prehearing conference. Any discussion and conversation not referenced in this Order is not binding on the ALJ conducting the hearing and may not be relied upon by the parties. The parties should be aware that, at hearing, the ALJ conducting the hearing has discretion to reconsider any part of this Order.

IT IS SO ORDERED.

Christine Arden

Christine Arden

Administrative Law Judge

Office of Administrative Hearings