OFFICE OF ADMINISTRATIVE HEARINGS DEPARTMENT OF GENERAL SERVICES - STATE OF CALIFORNIA

SPECIAL EDUCATION DIVISION QUARTERLY DATA REPORT FOURTH QUARTER 2019-2020 FISCAL YEAR APRIL 1, 2020 THROUGH JUNE 30, 2020

The Interagency Agreement, referred to as the IA, between the California Department of Education and the Office of Administrative Hearings, referred to as "OAH," requires OAH to report on a quarterly basis, certain information. The information included in this report is related to mediations and hearings, as well as information required by state and federal law. Where specific information in this report is identified by reference to a "task", the "task" refers to a section of the IA by section, paragraph, and subdivision of exhibit A of the IA. The information contained in this report for the relative quarter of fiscal year 2019-2020 reflects the current data as of August 2020.

This report organizes the information in the following categories:

- Case Filings
- Closed Cases
- Due Process Hearings
- Resolution Sessions
- Mediations
- Expedited Hearings


## CASE FILINGS

The data in these tables is required under Task 4.3 (b)(1). Additional detail and breakdown is provided to aid in understanding the case filing activity during the relevant quarter.

## TYPES OF CASES FILED BY DISTRICTS

| Type of Case Filed by District | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Request for Due Process Hearing with Mediation | 98 | 108 | 125 | 59 | 390 |
| Request for Due Process Hearing Only | 0 | 0 | 0 | 1 | 1 |
| Request for Due Process Hearing with Dual Issues | 0 | 2 | 1 | 0 | 3 |
| Request for Due Process Hearing with Expedited Issues | 1 | 1 | 2 | 0 | 4 |
| Request for Mediation Only | 33 | 20 | 27 | 14 | 94 |
| Total Filings by Districts | $\mathbf{1 3 2}$ | $\mathbf{1 3 1}$ | $\mathbf{1 5 5}$ | $\mathbf{7 4}$ | $\mathbf{4 9 2}$ |

In the "Type of Case Filed by District" table shown above, the numbers in the "Total Filings by Districts" row are the sum the numbers in the column above it. The numbers in the "Year to Date" column are the sum of the numbers in the row next to it.

## TYPES OF CASES FILED ON BEHALF OF STUDENTS

| Type of Case Filed on Behalf of Students | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $4^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Request for Due Process Hearing with Mediation | 1,130 | 1,030 | 987 | 821 | 3,968 |
| Request for Due Process Hearing Only | 4 | 5 | 7 | 6 | 22 |
| Request for Due Process Hearing with Dual Issues | 23 | 13 | 23 | 17 | 76 |
| Request for Due Process Hearing with Expedited Issues | 3 | 2 | 2 | 0 | 7 |
| Request for Mediation Only | 31 | 20 | 15 | 14 | 80 |
| Total Filings on Behalf of Students | $\mathbf{1 , 1 9 1}$ | $\mathbf{1 , 0 7 0}$ | $\mathbf{1 , 0 3 4}$ | $\mathbf{8 5 8}$ | $\mathbf{4 , 1 5 3}$ |

In the "Type of Case Filed on Behalf of Students" table shown above, the numbers in the "Total Filings on Behalf of Students" row are the sum of the numbers in the
column above it and the numbers in the "Year to Date" column are the sum of the numbers in the row next to it.

## TOTAL FILINGS

| Total Filings | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Total Number of Cases Filed by District | 132 | 131 | 155 | 74 | 492 |
| Total Number of Cases Filed on Behalf of Students | 1,191 | 1,070 | 1,034 | 858 | 4,153 |
| Total Filings | $\mathbf{1 , 3 2 3}$ | $\mathbf{1 , 2 0 1}$ | $\mathbf{1 , 1 8 9}$ | $\mathbf{9 3 2}$ | $\mathbf{4 , 6 4 5}$ |

In the "Total Filings" table shown above, the numbers in the "Total Filings" row are the sum of the numbers in the column above it and the numbers in the "Year to Date" column are the sum of the numbers in the row next to it.

## CLOSED CASES

The data in these tables is required by Task 4.3(b)(3 and 10). There are times when a decision is issued on expedited issues and a hearing on the remaining issues may not occur during the quarter. This may happen if the parties may reach an agreement on the remaining issues, the party that filed the case may decide to withdraw the case, the hearing may be scheduled for a date after the quarter ends, or they may be another reason. When this happens the number of cases closed because a decision was issued may not be the same as the number of hearings held, which is reported later in this report. An explanation for the difference, when there is one, will be added to explain the difference.

## REASON CASE WAS CLOSED

| Reason Case Was Closed | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Decision Issued | 25 | 27 | 26 | 13 | 91 |
| Chose not to Participate in <br> Mediation for a Mediation <br> Only Case <br> Filing Party Failed to Amend a <br> Complaint When Required <br> Inactivity $\mathbf{1}^{25}$ | 14 | 16 | 7 | 62 |  |
| Motion to Dismiss was <br> Granted | 1 | 4 | 0 | 1 | 6 |
| Parties Did Not Settle In a <br> Mediation-Only Case | 15 | 8 | 9 | 6 | 24 |
| Parties Fully Settled at <br> Mediation | 493 | 452 | 373 | 118 | 1,436 |
| Parties Settled During <br> Resolution Session | 47 | 49 | 28 | 15 | 139 |
| Parties Settled But Not At <br> Mediation or Resolution <br> Session | 490 | 561 | 498 | 881 | 2,430 |
| Filing Party Withdrew Their <br> Case | 159 | 152 | 143 | 129 | 583 |
| Other | 0 | 0 | 1 | 1 | 2 |
| Total Cases Closed | $\mathbf{1 , 2 5 7}$ | $\mathbf{1 , 2 8 9}$ | $\mathbf{1 , 1 1 6}$ | $\mathbf{1 , 1 8 5}$ | $\mathbf{4 , 8 4 7}$ |

In the table above, the numbers shown in the "Total Cases Closed" row are the sum of the numbers in each column above and the numbers in the "Year to Date" column are the sum of the numbers in the rows next to it.

## DUE PROCESS HEARINGS

The data in these tables is required by Task 4.3(b)(4-9). This data includes expedited hearings in the figures provided. For information specific to only the expedited hearing requests, refer to the Expedited Hearing Requests section of this report. At the time of this report, there are 899 due process hearings pending.

## DUE PROCESS HEARING DECISIONS

| Decisions Issued Timelines | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Issued within Timeline, including within Expedited <br> Timeline | 25 | 27 | 26 | 13 | 91 |
| Issued within Extended Timeline | 0 | 0 | 0 | 0 | 0 |
| Issued after Timeline and Extension Expired | 0 | 0 | 0 | 0 | 0 |
| Total Number Decisions Issued | $\mathbf{2 5}$ | $\mathbf{2 7}$ | $\mathbf{2 6}$ | $\mathbf{1 3}$ | $\mathbf{9 1}$ |

In the above table called "Due Process Hearing Decisions" the numbers in the row "Total Number of Decisions Issued" are the sum of the numbers in the column above each number and the numbers in the column "Year to Date" are the sum of the numbers in the row next to it.

## RESOLUTION SESSIONS

The data in these tables is required by Task 4.3(b)(2).

## RESOLUTIONS SESSIONS OUTCOMES AS REPORTED

| Resolution Sessions Statuses | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Number of Resolution Sessions Reported as Held | 188 | 179 | 106 | 63 | 536 |
| Number of Resolution Sessions Reported as Waived <br> with Intent to Use Mediation in Lieu Of | 210 | 54 | 40 | 92 | 396 |
| Number of Resolution Sessions Reported as Not Held or <br> No Data was Reported | $\mathbf{8 6 1}$ | $\mathbf{9 2 8}$ | $\mathbf{1 , 0 0 1}$ | $\mathbf{7 4 9}$ | $\mathbf{3 , 5 3 9}$ |

In the above table called "Resolution Sessions Outcomes as Reported" the numbers in the column "Year to Date" are the sum of the numbers in the row next to it.

## MEDIATIONS

The data in these tables is required by Task 4.3(a)(1-7).

## MEDIATION REQUESTS

| Number of Mediation Requests | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $3^{\text {rd }}$ <br> Quarter | $4^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Number of Mediation Requests Not Related to Hearings | 64 | 40 | 42 | 28 | 174 |
| Number of Mediation Requests Related to Hearings | 1,255 | 1,156 | 1,140 | 897 | 4,448 |
| Total Number of Mediation Requests | $\mathbf{1 , 3 1 9}$ | $\mathbf{1 , 1 9 6}$ | $\mathbf{1 , 1 8 2}$ | $\mathbf{9 2 5}$ | $\mathbf{4 , 6 2 2}$ |

In the above table called "Mediation Requests" the numbers in the row "Total Number of Mediation Requests" are the sum of the numbers in the column above each number and the numbers in the column "Year to Date" are the sum of the numbers in the row next to it.

## MEDIATIONS HELD

| Mediations Held | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Mediations Held Not Related to Hearing Requests | 45 | 29 | 28 | 17 | 119 |
| Mediations Held Related to Hearing Requests | 721 | 659 | 566 | 503 | 2,449 |
| Total Number of Mediations Held | $\mathbf{7 6 6}$ | $\mathbf{6 8 8}$ | $\mathbf{5 9 4}$ | $\mathbf{5 2 0}$ | $\mathbf{2 , 5 6 8}$ |

In the above table called "Mediations Held" the numbers in the row "Total Number of Mediations Held" are the sum of the numbers in the column above each number and the numbers in the column "Year to Date" are the sum of the numbers in the row next to each number.

## MEDIATIONS NOT HELD

| Mediation Not Held | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Mediations Requested (from prior table) | 1,319 | 1,196 | 1,182 | 925 | 4,622 |
| Mediations Held (from prior table) | 766 | 688 | 594 | 520 | 2,568 |
| Total Number of Mediations Not Held | 553 | 508 | 588 | 405 | $\mathbf{2 , 0 5 4}$ |

The number of mediations not held within any quarter include mediations which were canceled by one or both of the parties, continued to a different date, or canceled due to a case being closed.

In the above table called "Mediations Not Held" the numbers in the row "Total Number of Mediations Not Held" are calculated by subtracting the number in the second row from the number in the second in the column above each number and the numbers in the column "Year to Date" are the sum of the numbers in the row next to each number.

## MEDIATION AGREEMENTS

In March 2019, OAH shifted to virtual mediations to comply with the Governor's statewide Stay-at-Home order due to the COVID-19 pandemic. Accordingly, due to the new method of conducting mediations the tracking of agreements reached at mediation was also shifted. The data in this report reflects that change in tracking.

| Mediation Agreements | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Mediation Agreements Not Related to Hearing Requests | 23 | 14 | 11 | 4 | 52 |
| Mediation Agreements Related to Hearing Requests | 446 | 418 | 352 | 98 | 1,314 |
| Total Number of Mediation Agreements | 469 | 432 | 363 | $\mathbf{1 0 2}$ | 1,366 |

In the above table called "Mediation Agreements" the numbers in the row "Total Number of Mediation Agreements" are the sum of the numbers in the column above
each number and the numbers in the column "Year to Date" are the sum of the numbers in the row next to each number.

## MEDIATIONS HELD NOT RESULTING IN AGREEMENT

| Mediations without Agreements | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Mediations Held (from prior table) | 766 | 688 | 594 | 520 | 2,568 |
| Mediations Resulting in Agreements (from <br> prior table) | 469 | 432 | 363 | 102 | 1,366 |
| Total Number of Mediations without Agreement | $\mathbf{2 9 7}$ | $\mathbf{2 5 6}$ | $\mathbf{2 3 1}$ | $\mathbf{4 1 8}$ | $\mathbf{1 , 2 0 2}$ |

In the above table called "Mediations Held Not Resulting in Agreement" the numbers in the row "Total Number of Mediations without Agreement" are calculated by subtracting the number in the second row from the number in the first row, and the numbers in the column "Year to Date" are the sum of the numbers in the row next to each number.

## EXPEDITED HEARINGS

The data in these tables is required by Task 4.3(c)(1-5).

## EXPEDITED HEARING REQUESTS

| Number of Expedited Hearing Requests | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Number of Expedited Hearings Requested by Districts | 1 | 3 | 3 | 0 | $\mathbf{7}$ |
| Number of Expedited Hearings Requested by Students | 26 | 15 | 25 | 17 | 83 |
| Total Number of Expedited Hearing Requests | $\mathbf{2 7}$ | $\mathbf{1 8}$ | $\mathbf{2 8}$ | $\mathbf{1 7}$ | $\mathbf{9 0}$ |

In the above table called "Number of Expedited Hearing Requests" the numbers in the row "Total Number of Expedited Hearing Requests" are the sum of the numbers in
the column above each number and the numbers in the column "Year to Date" are the sum of the numbers in the row next to each number.

REASONS FOR CLOSURE FOR EXPEDITED HEARING REQUESTS

| Reason Expedited Case was Closed | $\mathbf{1}^{\text {st }}$ <br> Quarter | $\mathbf{2}^{\text {nd }}$ <br> Quarter | $\mathbf{3}^{\text {rd }}$ <br> Quarter | $\mathbf{4}^{\text {th }}$ <br> Quarter | Year to <br> Date |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Decision Issued | 3 | 1 | 1 | 0 | 5 |
| Parties Settled at Mediation | 4 | 7 | 5 | 3 | 19 |
| Parties Settled at Resolution Session | 0 | 0 | 0 | 0 | 0 |
| Parties Settled Outside Mediation or Resolution Session | 15 | 11 | 14 | 14 | 54 |
| Parties Withdrew Expedited Hearing Request | 5 | $\mathbf{7}$ | 4 | 4 | 20 |
| Total Expedited Cases Closed | $\mathbf{2 7}$ | $\mathbf{2 6}$ | $\mathbf{2 4}$ | $\mathbf{2 1}$ | $\mathbf{9 8}$ |

In the above table called "Reasons for Closure for Expedited Hearing Requests" the numbers in the row "Total Expedited Cases Closed" are the sum of the numbers in the column above each number and the numbers in the column "Year to Date" are the sum of the numbers in the row next to each number.

In the relevant quarter there were no changes of placement ordered in the decisions issued following an expedited hearing. For Fiscal Year 2019-2020, there have been no changes of placement ordered following expedited hearings.

## QUARTERLY REPORT CONTENT

Beginning with the fourth quarter of fiscal year 2018-2019, the content of the OAH Special Education Division's Quarterly Data Report was updated to conform with the information statutorily required by Ed Code 56504.5 (d) and CCR Title 5, 3092 (b).

