

SEGMENTAL RETAINING WALL SYSTEMS OF PRECAST CONCRETE UNITS: 2025 CBC

Disciplines: Structural

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Division of the State Architect (DSA) documents referenced within this publication are available on the [DSA Forms](#) or [DSA Publications](#) webpages.

PURPOSE

This Interpretation of Regulations (IR) clarifies design, construction, and quality assurance requirements for segmental retaining wall (SRW) systems on projects under DSA jurisdiction.

SCOPE

This IR is applicable to gravity type retaining walls assembled of precast concrete units, referred to as SRW systems, which are an alternative to conventional retaining wall systems. Approval of SRW systems requires compliance with the conditions of this IR and acceptance by DSA. Only soil-reinforced SRW systems are permitted on projects under DSA jurisdiction. The reinforced soil mass may consist of cohesive or cohesionless soil, subject to the recommendations of a geotechnical engineer as documented in a geotechnical report.

Retaining walls less than 4-feet in height from the top of the foundation and not supporting a surcharge may be designated as exempt from DSA review and approval in accordance with *IR A-22: Construction Projects and Items Exempt from DSA Review*. However, such walls shall meet the manufacturer's specifications, and the applicable design and wall system requirements in Sections 2 and 3 below.

BACKGROUND

SRW systems consist of facing units anchored to a reinforced soil mass that provides gravity load for resistance to overturning and lateral sliding. California Building Code (CBC) Section 1807A.2.4 requires the concrete units used in SRW systems to comply with American Society for Testing and Materials (ASTM) Standard C1372: Standard Specification for Dry-Cast Segmental Retaining Wall Units. Geosynthetic grid materials (i.e., geogrid) are used to anchor the facing units and to reinforce the soil mass. For further information on SRW systems refer to the National Concrete Masonry Association's (NCMA) Design Manual for Segmental Retaining Walls, 3rd Edition (DMSRW), Section 2.

1. GEOTECHNICAL REQUIREMENTS

A California licensed geotechnical engineer, in accordance with CBC Section 1803A, shall prepare a soil investigation report for the project site. Recommendations for the preparation of reinforced soil mass and slope stability above and below the retaining wall (if applicable) shall be addressed in the report.

The design of SRW systems shall include lateral pressure due to earthquake ground motions as defined in the geotechnical report, per CBC Section 1803A.5.12, Item #1 and Section 1807A.2.2. When submitted to the California Geological Survey (CGS) for review per *IR A-4: Geohazard Report Requirements*, CGS must concur with the additional seismic lateral earth pressure defined by the geotechnical engineer per CGS Note 48, Item #11A.

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2. DESIGN REQUIREMENTS

Design of the SRW systems shall comply with the CBC, DMSRW, and this section. If the design engineer proposes compliance based on the American Association of State Highway and Transportation Officials (AASHTO) LRFD Bridge Design Specifications, DSA acceptance of the design criteria must be obtained in advance at a preapplication meeting or by submitting a form *DSA 1-AMM: Request for Alternate Design, Materials and Methods of Construction*.

2.1 General

SRW systems shall be qualified by an evaluation report complying with *IR A-5: Product and Material Acceptance Based on a Valid Evaluation Report*.

Exception: SRW systems without a complying evaluation report may be used up to a maximum height of 10-feet above the top of the foundation when submitted and approved by DSA as an alternative method of construction per California Administrative Code (CAC) Section 4-304. The project applicant shall submit a DSA 1-AMM in accordance with *Procedure (PR) 18-01: Request for Alternate Design, Materials and Methods of Construction*.

2.1.1 SRW systems shall not be constructed in a location that will cause the wall to receive loads from any existing or new building foundation. Structures shall not be supported by SRW systems. The minimum setback shall be a 1 horizontal to 1 vertical projection from the tail of the lowest geogrid.

2.1.2 SRW precast concrete units shall comply with ASTM C1372 in accordance with CBC section 1807A.2.4.

2.2 Design Criteria

The design shall include the effect of all surcharge loads, potential settlement, and sloping soil conditions for both gravity and seismic load analyses.

2.2.1 Seismic analysis will be required for walls in accordance with CBC Section 1807A.2. The seismic design criteria shall be determined by the geotechnical engineer per Section 1 above in consideration of the properties of the SRW system.

2.2.2 Where structures or fire access lanes adjacent to the SRW would be impacted by wall movement, the horizontal acceleration coefficient per DMSRW Section 9.4 shall be based on no permissible lateral wall deflection (i.e., $d_{\text{seismic}} = 0$) during the design basis seismic event, unless a detailed analysis acceptable to DSA is performed to evaluate the impact of soil movement on the affected elements and justify their adequacy under such movement.

2.2.3 Design factors of safety for systems and design criteria shall be based on and comply with DMSRW Table 5-2, including minimum width of reinforced zone, minimum wall embedment, minimum anchorage length of geogrid in wall blocks, and maximum wall batter.

2.2.4 The vertical spacing of the geogrid shall not exceed 32-inches nor twice the depth of the block unit, whichever is less. An additional layer of geogrid shall be provided in the top 12-inches of all SRW; this top geogrid layer may be sloped down to avoid and pass below the aggregate base at driveways and parking lots.

2.3 Design Documentation

The wall design shall be prepared by a California registered structural engineer. Complete design calculations of the SRW system shall be provided for DSA review with the project submission. The SRW is not permitted to be designed and approved as a deferred submittal. Construction drawings shall provide complete plans, sections, and details of the SRW system, including the following information:

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2.3.1 Locations and elevations of the top and bottom of all wall sections including the foundations, minimum wall embedment, and water table.

2.3.2 Geogrid type, location, and embedment lengths behind the interior face of the block units. A plan view shall show the interaction of geogrid layouts at wall corners, curves, or bends in accordance with Section 3.2.2 below.

2.3.3 Soil gradation requirements and assumed soil design properties (e.g., density, soil friction angle, etc.) for reinforced and retained fills.

2.3.4 Placement and compaction specifications for all fill materials, including any special considerations or construction equipment for compaction close to the wall face.

2.3.5 Location and size of all holes or openings to be cut into the geogrid. Such penetrations may be required for fence posts, light poles, and other components. All details (e.g. reinforcement) required to accommodate penetrations shall be included in the drawings.

2.4 Global Stability Analysis

A global stability analysis, per DMSRW Section 12.4, shall be prepared, stamped, and signed by a California registered geotechnical or civil engineer. The global stability analysis shall be submitted to DSA for review with the project submission. Where the analysis indicates soil displacement, the design shall comply with one of the following:

2.4.1 Structure or fire access lane in front of or behind the wall shall be demonstrated to be capable of withstanding the displacement.

2.4.2 Soil strengthening shall be specified to limit the displacement to a level that can be tolerated by structure or fire access lane in front of or behind the wall.

2.4.3 Structure or fire access lane in front of or behind the wall shall be relocated beyond the critical slip plane.

3. WALL SYSTEM REQUIREMENTS

All SRW block units shall have a mechanical interlocking mechanism between adjacent units, such as formed lips, pins, or keys that will resist horizontal movement normal to the wall. The geogrid shall be mechanically anchored to the block units through aggregate interlock, pins, pipes, etc. Formed lips in block units will not provide adequate anchorage unless configured to mechanically engage the geogrid.

The integrity of the mechanical interlock must be maintained if block courses separate due to settlement of the lower course, uplift of the upper course, or bulging of the surface between geogrid layers. The performance objective of SRW system design is to limit course separations to 1/4-inch maximum for the life of the wall.

3.1 Installation

Installation of SRW systems shall be in conformance with the manufacturer's instructions, product evaluation report, and the codes and standards listed in Section 2 above.

3.1.1 The backfill materials for reinforced fill, retained fill, and foundation fill shall be placed and compacted as required by the DSA-approved construction documents and the geotechnical report. Drainage systems as described in Section 3.3 below shall be placed in accordance with these documents.

3.1.2 Regardless of the geogrid spacing, the reinforced fill and retained fill shall be compacted in lifts not to exceed eight inches in thickness per DMSRW Section 5.10.1.

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3.2 Geogrid

Acceptable geogrid suppliers and grid types shall be specified on the construction documents. The allowable long-term design strength and pullout capacity of grid-to-block connections shall be defined and justified.

3.2.1 In retaining wall systems with corners, the geogrid layers shall be staggered at adjacent walls to avoid overlap of grids and permit planar installation at each level. Geogrid layers in different plan orientations need not be staggered vertically when explicitly permitted by the evaluation report, and the design complies with any capacity reductions, geometry limitations, and other requirements given in the report.

3.2.2 Penetrations in the geogrid reinforcement are not permitted except as shown on the DSA-approved construction drawings per Section 2.3.4 above. Proposed penetrations not shown on the construction drawings must be submitted to and approved by DSA in accordance with *IR A-6: Construction Change Document Submittal and Approval Process* prior to cutting the geogrid.

3.2.3 Geogrid layout shall comply with Section 2.2.4 above.

3.3 Drainage

Surface drainage at the top and bottom of the wall shall be directed away from the wall. Additionally, the design shall comply with this section.

3.3.1 Drainage pipes and granular drainage backfill shall be provided between the facing units and the reinforced fill. The granular drainage backfill shall be composed of clean free-draining gravel materials, extending full height and length of the wall at a minimum thickness of 12-inches and shall meet the compaction requirements specified by the manufacturer.

3.3.2 If the reinforced fill is not constructed of free-draining material and has not been designed for the reduced internal shear strength of the saturated condition, an additional drainage system shall be provided to prevent saturation of the reinforced fill. The additional drainage system shall comply with the following:

3.3.2.1 Extend the full height and length of the wall and be located at the rear of the geogrid reinforced fill.

3.3.2.2 Consist of granular material in accordance with Section 3.3.1 above or a manufactured composite drainage product.

3.3.2.3 Collect runoff at the base of the granular or composite system and transmit it by tight-line pipe to the face of the wall or other appropriate drainage disposal location.

3.3.3 To further mitigate the potential for soil saturation resulting from surface infiltration, hardscape or low permeable material may be placed above the reinforced soil mass.

4. TESTING AND INSPECTION

Testing and inspection shall be performed by the geotechnical engineer (or qualified representative) per CBC Section 1705A.6.1 and as described in Appendix A below. The design professional shall add the applicable items listed in Appendix A below to the form *DSA 103: List of Required Structural Tests and Special Inspections* in the user defined "S6 | Other Soils" section.

4.1 Material Certification

Certification letters required by this section shall be submitted to the design professional in responsible charge, the project inspector, the laboratory of record (LoR), and the school district.

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4.1.1 The precast units used in SRW systems shall comply with CBC Section 1807A.2.4, CBC Chapter 19A, and ASTM C1372. A letter of certification shall be provided with the units indicating the manufacturer's name and address, product name, and unit type. The certification shall include applicable laboratory compressive strength and absorption test results.

4.1.2 A letter of certification shall be provided for the geogrid, indicating the manufacturer's name and address, product name, and product designation complying with the specified requirements of the DSA-approved construction documents. The letter of certification shall include the roll numbers, identification procedures, sampling procedures, and the results of the quality control tests. Quality control tests include flexural rigidity, tensile strength, tensile modulus, and junction strength for each batch of resin and each production shift.

4.2 Soil Testing

Soil properties, such as soil type, soil classification, moisture content, compaction, shear strength, and gradation, for all fill materials shall be tested for compliance with the specified requirements. The geotechnical engineer shall determine the appropriate frequency for these tests, not less than the following:

4.2.1 In situ density test per ASTM D6938 or D1556: Test every 2-feet vertical and 100-feet horizontal, or fraction thereof, in reinforced fill, retained fill, and foundation fill.

4.2.2 Shear strength test per ASTM D3080: One test for every backfill type and source, minimum two tests. Perform tests prior to the start of backfill operations. Perform gradation tests on these samples to be used as the baseline described below.

4.2.3 Gradation test per ASTM C136 or ASTM D7928: One test for every 4,000 square feet of wall facing area, or fraction thereof, and each backfill type and source. The gradation results shall be correlated with the baseline gradation tests from the shear strength tests. The geotechnical engineer shall establish an acceptance range for these gradation tests based on the baseline tests. If a gradation test falls outside the acceptance range, a shear strength test shall be performed on the subject backfill.

4.3 Reporting Requirements

4.3.1 Detailed daily reports are required for all material testing and special inspection activities that occur at the project site. Reports shall be forwarded to the project inspector within one day of the test or inspection. Reports of all material tests performed by the LoR shall be distributed in accordance with CAC requirements.

4.3.2 Upon completion of geotechnical-related material testing and special inspection activities, the geotechnical engineer shall submit form *DSA 293: Geotechnical Verified Report* to the design professional in responsible charge, DSA, the project inspector, and the school district.

REFERENCES:

2025 California Code of Regulations (CCR) Title 24

Part 1: California Administrative Code (CAC), Section 4-304.

Part 2: California Building Code (CBC), Sections 1705A.6 1803A, 1807A.2.

This IR is intended for use by DSA staff and by design professionals to promote statewide consistency for review and approval of plans and specifications as well as construction oversight of projects within the jurisdiction of DSA, which includes State of California public schools (K-12), community colleges and state-owned or state-leased essential services buildings. This IR indicates an acceptable method for achieving compliance with applicable codes and regulations, although other methods proposed by design professionals may be considered by DSA.

This IR is subject to revision at any time. Please check DSA's website for currently effective IRs. Only IRs listed on the webpage at www.dgs.ca.gov/dsa/publications at the time of project application submittal to DSA are considered applicable.

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APPENDIX A: EXAMPLE FORM DSA 103

A1. Form DSA 103, Section S6

	Type	By
S6 OTHER SOILS		
<input type="checkbox"/> S6a: Soil Improvement Test	Test	GE
<input type="checkbox"/> S6b: Soil Improvement Inspection	Continuous	GE
<input checked="" type="checkbox"/> S6c: Segmental retaining wall (SRW) excavations and subgrade preparation.	Periodic	GE
<input checked="" type="checkbox"/> S6d: SRW material classification, gradation, and direct shear.	Test	GE
<input checked="" type="checkbox"/> S6e: SRW fill material placement.	Continuous	GE
<input checked="" type="checkbox"/> S6f: SRW fill material compaction.	Periodic	GE
<input checked="" type="checkbox"/> S6g: SRW leveling pad.	Continuous	GE
<input checked="" type="checkbox"/> S6h: SRW block material.	Periodic	GE
<input checked="" type="checkbox"/> S6j: SRW block placement.	Continuous	GE
<input checked="" type="checkbox"/> S6k: SRW geogrid reinforcement material.	Periodic	GE
<input checked="" type="checkbox"/> S6m: SRW geogrid reinforcement placement and connection to block.	Continuous	GE
<input checked="" type="checkbox"/> S6n: SRW block fill and wall embedment.	Continuous	GE
<input checked="" type="checkbox"/> S6p: SRW final construction.	Periodic	GE

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A2. Form DSA 103, Appendix

S6 OTHER SOILS	
Test or Special Inspection	Code References and Notes
S6a Soil Improvement Test	<i>[Prefilled form content – no user entry]</i>
S6b Soil Improvement Inspection	<i>[Prefilled form content – no user entry]</i>
S6c Segmental retaining wall (SRW) excavations and subgrade preparation.	Refer to Section S1a. Verify excavations are extended to proper depth. Prior to placement of drainage fill and compacted fill, observe subgrade and verify that site has been prepared properly.
S6d SRW material classification, gradation, and direct shear.	Refer to Section S2a. Classify by gradation test per ASTM C136 or ASTM D7928 and direct shear test per ASTM D3080 the following: reinforced fill, retained fill, foundation fill, and drainage fill. See construction documents for frequency.
S6e SRW fill material placement.	Refer to Section S2b. Verify placement (lift thickness) and proper material of reinforced fill, retained fill, foundation fill, and drainage fill.
S6f SRW fill material compaction.	Refer to Section S2b. Verify relative compaction of reinforced fill, retained fill, foundation fill, and drainage fill.
S6g SRW leveling pad.	Verify placement, flatness, and levelness of pad to ensure intimate contact between units and aggregate.
S6h SRW block material.	1807A.2.4. Verify block dimensions, identification, and manufacturer's certification.
S6j SRW block placement.	Verify block placement, alignment, and inclination.
S6k SRW geogrid reinforcement material.	Verify type, proper material identification, and manufacturer's certification.
S6m SRW geogrid reinforcement placement and connection to block.	Verify placement including elevation, length, and orientation (i.e., strong direction as specified). Verify connection including mechanical device and overlap length.
S6n SRW block fill and wall embedment.	Verify placement.
S6p SRW final construction.	Verify wall elevations, wall batter, and front and back slope conditions.