IT PROJECT OVERSIGHT - 4940

(Revised: 04/2017 07/2026)

All Information Technology (IT) projects, including projects delegated by the Department of Technology to the Agency/state entity director, require project oversight. The fundamental requirements for project oversight and evaluation are specified in SAM Section 4819.36 Project Reporting/Oversight.

Non-Delegated IT Projects

Project oversight conducted by the California Department of Technology (Department of Technology) project oversight is required for all Reportable non-delegated Information Technology (IT) projects, unless otherwise exempted by the Department of Technology. IT Project Oversight is required to begins on the proposed project start date as identified in the Stage 4 project's approval letter and must continue through the duration of the project unless other direction is issued by the Department of Technology. Agency/state entity management must comply with the IT Project Oversight Framework (see SIMM Section 45) throughout all project phases to ensure the appropriate level of independent project oversight, project management practices and project risk assessments occurs. Compliance with the IT Project Oversight Framework may be required to begin as early as the Stage 3 Solution Developmentduring the project approval process, as a condition of Stage 2 Alternatives Analysis approval.

The Department of Technology will conduct Agency/state entity, IT project management and oversight assessments designed to provide Agency/state entity management and the Department of Technology information on the progress of a project, including compliance with the minimum requirements for IT project management, project risk management, project oversight and project reporting activities at the Agency/state entity and control agency levels as outlined in the IT Project Oversight Framework (see SIMM Section 45). The Department of Technology will schedule assessments based on an established criteria.The Department of Technology will perform project management and oversight assessments to provide Agency/state entity sponsor and leadership, as well as the DepartmentAgency/stateDepartment of Technology management entity, with insight into and details on project progress. These assessments will evaluate compliance with minimum IT project management requirements, project risk management practices, oversight activities, and reporting at both the Agency/state entity and control agency levels, as defined in the IT Project Oversight Framework (see SIMM Section 45). All assessments will be conducted using established criteria.

Delegated IT Projects

The fundamental requirements for project oversight and evaluation are specified in SAM . All projects, including projects delegated by the California Department of Technology to the Agency/state entity director, are subject to these review, reporting and evaluation

~~requirements including the requirements of .~~ Projects where approval authority has ~~that have~~ been delegated to the Agency/state entity director in accordance with SAM Section 4819.34 require appropriate project reporting by the project manager to the Agency/state entity director. Minimum requirements are found in SAM 4819.36.

Project oversight services contracted by Agency/state entities or the Department of Technology must comply with the IT Project Oversight Framework (~~see~~ SIMM Section 45) and the requirements outlined in SAM Section 4819.36. The Department of Technology may conduct assessments to ensure compliance with minimum requirements outlined.