EXCLUSIONS FROM PROJECT APPROVAL AUTHORITY - 4819.32

(Revised: ~~06/2018~~07/2026)

For purposes of Information Technology (IT) ~~Project Submittal and Approval~~project approval, the following are excluded from the State Administrative Manual (SAM) Section 4819.34, Project Approval Authority, which defines state project approval authority and responsibility for IT projects:

1. ~~1.~~ IT activities directly associated with single-function process-control systems (such as those applied in the controlling of water gates, traffic signals, or environmental systems for buildings), analog data collection devices, or telemetry systems.

   ~~are excluded from . Process Control, for the purposes of the exclusions from the Department of Technology (CDT) project approval and oversight, includes a~~Automated processing systems that monitor~~s~~ and control~~s~~ the operation of a single function system, and that can perform that control in isolation from other systems. Examples may include all components necessary to monitor and control the traffic lights at an intersection, the position of water restriction and diversion components in a water supply and distribution system, or to adjust the behavior of a motorized conveyer in response to changes in load and demand.

   Sensors, telemetry devices, alarm and physical entry controls (such as, facility access cards and badge readers~~) ,~~), functional components such as motors or traffic lights, electronic control processors, and the network system that connects those devices into a single-function process control system meet the process control system exclusion.

   Any component that may be added to any process control system, such as additional sensors, processing capacity or network communications capability, that is necessary for use in conjunction with a current or planned IT system must be included in all project approval deliverables, plans, proposals and budget estimates for the IT system.

   Note: Process control should not be interpreted to include information processing and network systems in which data is gathered, stored, transmitted, processed, analyzed, displayed, printed, or reported for purposes other than the direct, automatic monitoring and controlling of a single function system, or for the manual review of the performance and activities of that single system.

   ~~Any component that may be added to any process control system, such as additional sensors, processing capacity or network communications capability, that is necessary for use in conjunction with a current or planned IT system must be included in all Project Approval Lifecycle Stage/Gate deliverables, plans, proposals and budget estimates for the IT system.~~

2. Projects, activities, or acquisition of telecommunications equipment used exclusively for voice communications. Any project where approval and initiation is within the jurisdiction of the Public Safety Communications Office, per California Government Code Section 15275-15277, such as public safety telecommunications including microwave, satellite, 911, telematics, and radio/~~rf~~Radio Frequency.

~~3. Installations of Voice Over Internet Protocol (VOIP) phone systems that are stand alone and~~

~~do not interface with other systems on the network.~~

43. Acquisition of printers, scanners, and copiers. If any of these components are part of a planned IT system they must be included in all ~~Project Approval Lifecycle Stage/Gate~~ project approval deliverables, plans, proposals and budget estimates for the IT system.

SAM Section 4819.32 is not an exclusion from SAM Section 4819.3, State Information Management Authority and Responsibility, which applies to all Agencies/state entities.

Questions regarding exclusions should be directed to your California Department of Technology ~~California Department of Technology~~ CDT, ~~IT Project Oversight Division (ITPOD)~~Project Approval and Oversight Manager.